

Synchrotron Radiation Spectroscopies on Solids, Surfaces, and Interfaces

Giancarlo Panaccione

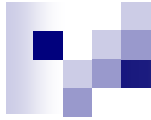
Lab. TASC INFN -CNR

Advanced Photoemission Experiment (APE) beamline @

ELETTRA storage ring

Trieste (Italy)

E-mail: panaccione@elettra.trieste.it



Main argument of the course:
**Characterization and analysis of solids and surfaces
with Synchrotron Radiation**

1. Basics of Synchrotron Radiation (why, what, where, who)

Beamlines, Devices
Material Science and SR

2. Electron Spectroscopies with X-rays **INTENSITY OF SR**

Interaction of radiation with matter
Absorption and Photoemission (bulk and surface)
Applications to Model Systems

3. Polarization dependent Spectroscopies **SYMMETRY OF SR**

Chirality and Dichroism

Measure and analysis of Spin

Nanoscience and magnetism with SR

Hot topics in Low dimensional Magnetism

4. Beyond the surface (UPS, XPS, HX-PES) **TUNABILITY OF SR**

Analysis of Correlated systems with SR

Surface sensitivity: advantages and problems

Volume sensitive photoemission

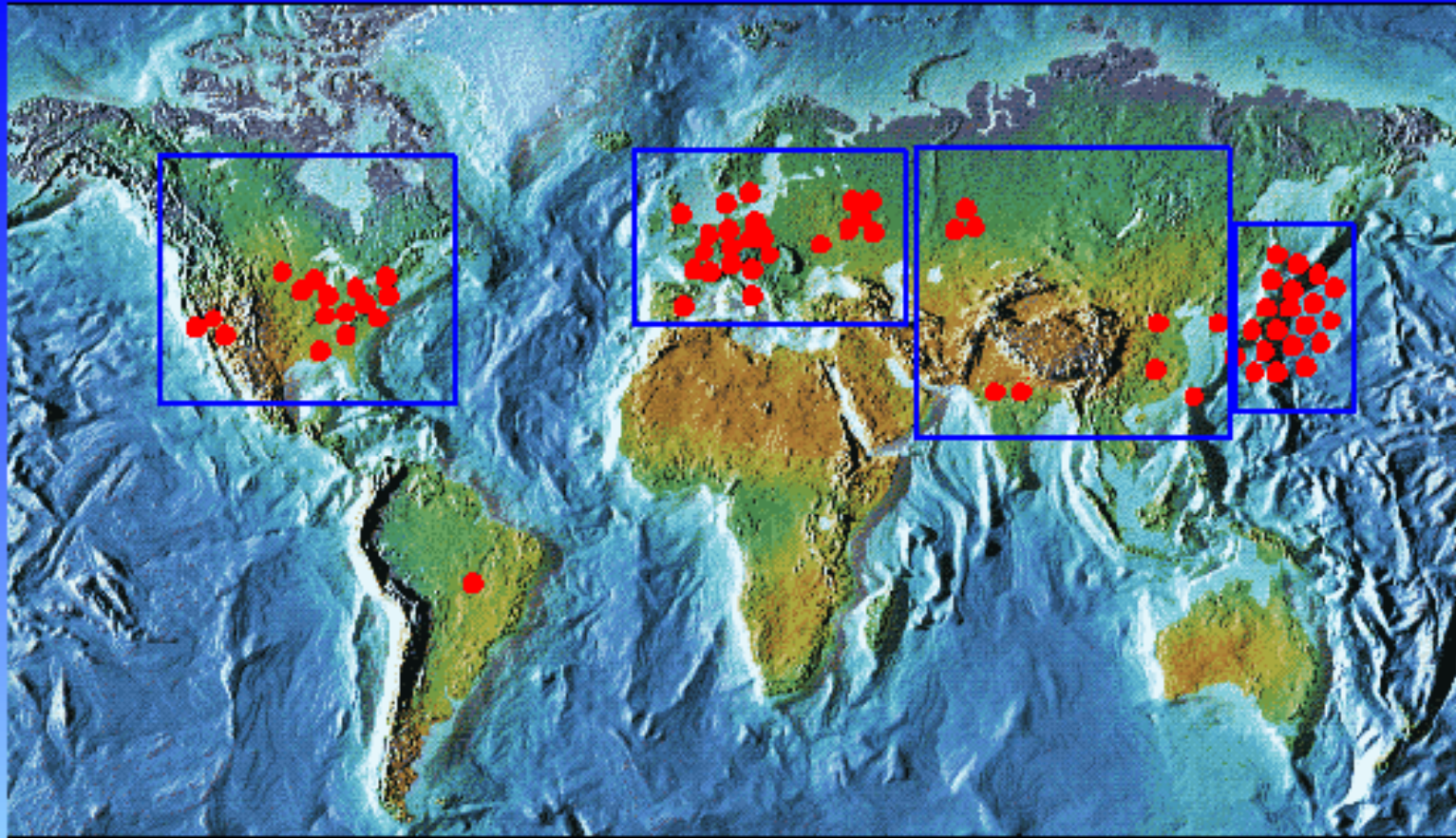


Objective :

Design, prepare, ‘virtually perform’ a specific experiment
at SR source

- 1) Subject of the experiment
Electronic, Structural, Chemical, Magnetic properties of a solid
- 2) Choice:
Synchrotron source, beamline, spectroscopy, experimental setup
- 3) Preparation:
Samples, Parameters, Environmental Conditions
- 4) Measurements and Analysis

Tutorials, Seminars, Practicals on data analysis



Les installations de
RS en 1997

Asie + Amérique du Sud : ~ 10
Amérique du Nord : ~ 20
Europe : ~ 20 Japon : ~ 20





- TNK
- Siberia 1
- Siberia 2
- Liou
- ASTRA
- VEPP2
- VEPP3
- VEPP4
- Siberia AS
- Siberia SM

	En exploitation	En construction, tests	En projet
Allemagne	23	1	
Danemark	1	1	
Espagne		1	
Europe	1		
France	1	1	
G.B	1	1	
Italie	1		
Pays Bas	2		
Russie	8		
Suède	2		
Suisse			1





ELETTRA
Trieste (Italy)

European Synchrotron Radiation Facility
(ESRF)
Grenoble, France





Canadian Light Source Inc.
University of Saskatchewan
101 Perimeter Road
Saskatoon,
Saskatchewan S7N 0X4

www.lightsource.ca



SOLEIL, Paris, France

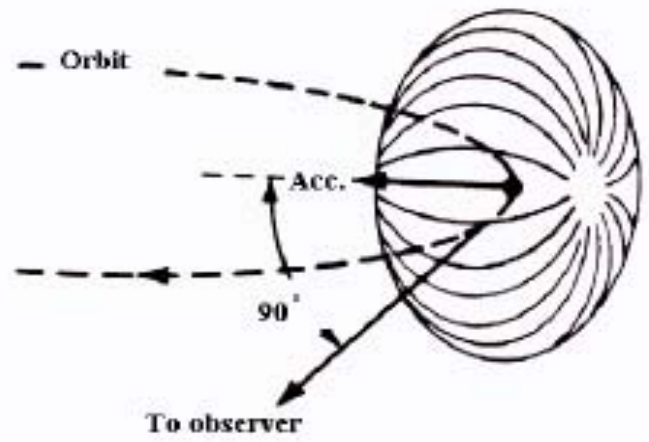
Homework n. 1

Find and list main differences
www.lightsources.org

Spring8,
Hyogo , Japan

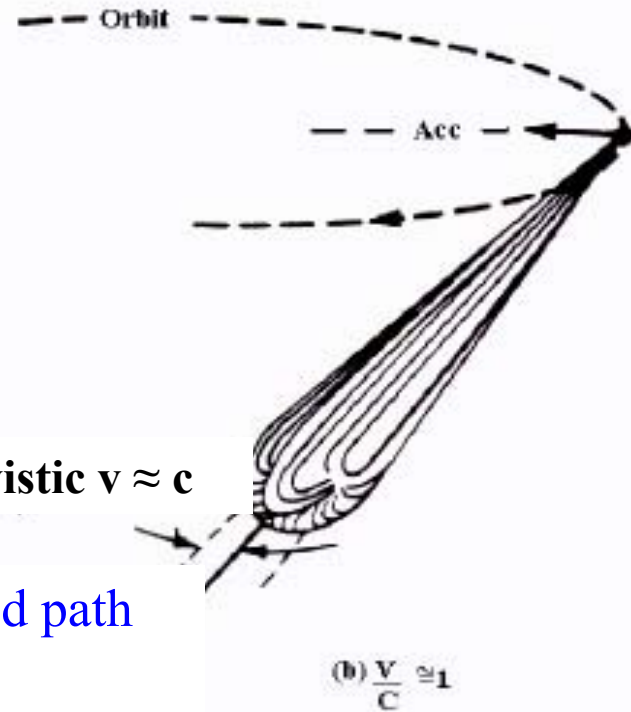


Production of Synchrotron Radiation: relativistic electrons



Non relativistic $v \ll c$

Relativistic $v \approx c$

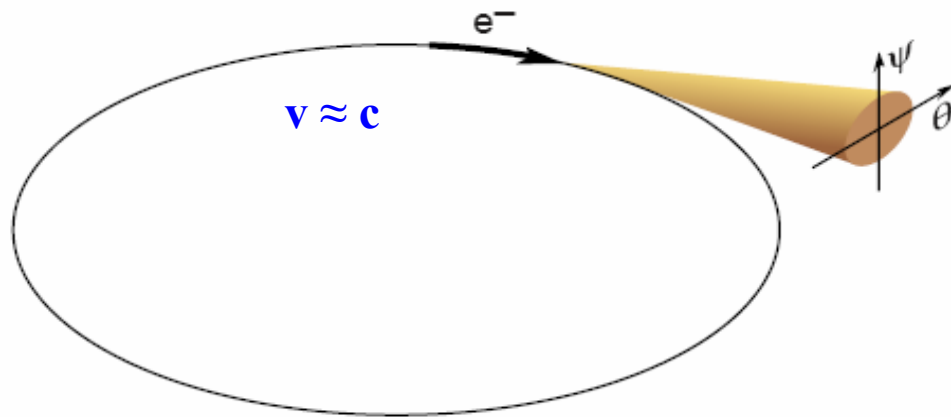


A charged particle constrained to move in curved path experiences a centripetal acceleration. Due to this acceleration, the particle radiates energy according to Maxwell equations.

As the speed of the particle **approaches the speed of light**, the radiation pattern is distorted by relativistic effects and changes to a narrow cone of radiation, called Synchrotron Radiation.

Lesson II, next Tuesday. Production of Synchrotron Radiation

Production of Synchrotron Radiation: relativistic electrons



Synchrotron radiation

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} = \frac{1}{\sqrt{1 - \beta^2}} ; \quad \beta = \frac{v}{c}$$

$$E_e = \gamma mc^2, \quad \mathbf{p} = \gamma m \mathbf{v}$$

$$\gamma = \frac{E_e}{mc^2} = 1957 E_e(\text{GeV})$$

$$\hbar\omega \cdot \lambda = 1239.842 \text{ eV} \cdot \text{nm}$$

$$1 \text{ watt} \Rightarrow 5.034 \times 10^{15} \lambda[\text{nm}] \frac{\text{photons}}{\text{s}}$$

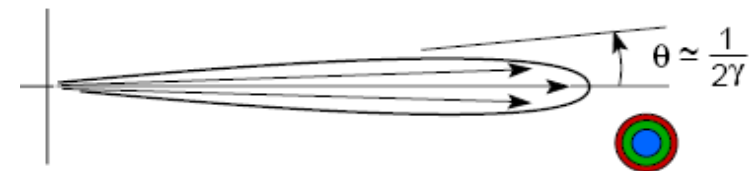
Frame moving with electrons



Lorentz Transformation



Laboratory frame of reference



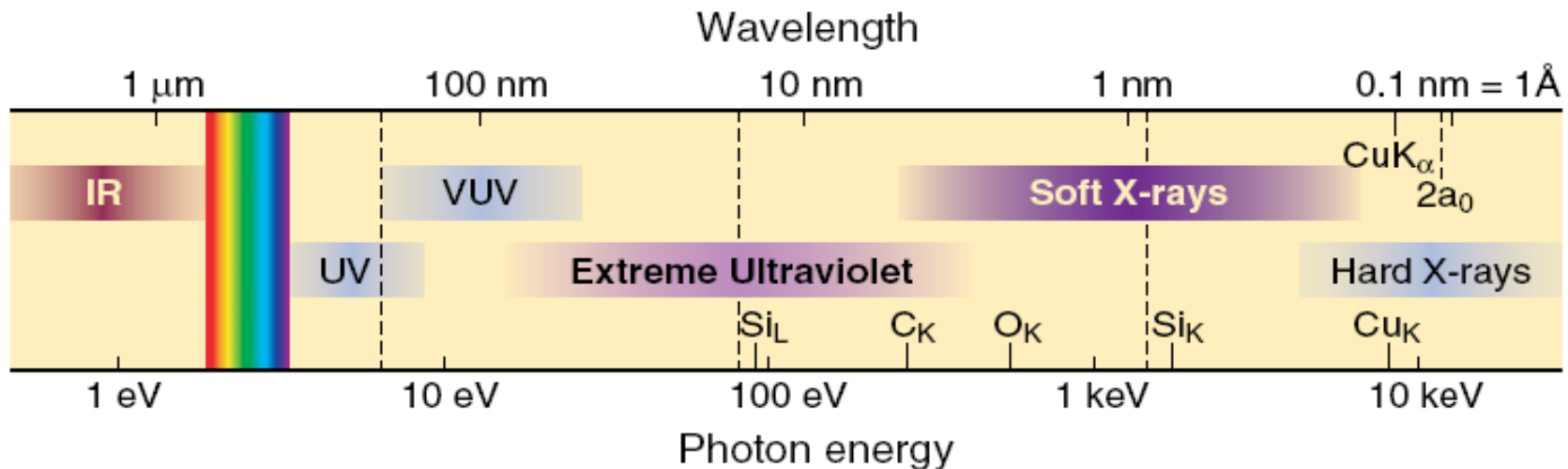
Homework n. 2

Only electrons radiate?
What about ions? Positrons?

Basics of Synchrotron Radiation 1 (a bit of history)

X-Ray dates http://xdb.lbl.gov/Section2/Sec_2-2.html

- 1909: Barkla and Sadler discover characteristic x-ray radiation (1917 Nobel Prize, Barkla)
- 1912: von Laue, Friedrich, and Knipping observe x-ray diffraction (1914 Nobel Prize, von Laue)
- 1913: Bragg, father and son, build an x-ray spectrometer (1915 Nobel Prize)
- 1913: Moseley develops quantitative x-ray spectroscopy and Moseley's Law
- 1916: Siegbahn and Stenstrom observe emission satellites (1924 Nobel Prize, Siegbahn)
- 1921: Wentzel observes two-electron excitations
- 1922: Meitner discovers Auger electrons
- 1924: Lindh and Lundquist resolve chemical shifts
- 1927: Coster and Druyvesteyn observe valence-core multiplets
- 1931: Johann develops bent-crystal spectroscopy



Basics of Synchrotron Radiation 2

<http://www.lightsources.org/cms/> In section history

>1920 Interest in the radiation as an energy-loss mechanism

1930-40 Betatron, i.e. X-rays production via electron accelerators

1940-41 Kerst (Univ. Illinois) first 2.3 MeV betatron
General Electric

1944 Ivanenko and Pomeranchuk (USSR), calculations of energy losses
due to radiating electrons

1945 Schwinger (US), theory (non-relativistic) on dipole
Radiation of circular trajectories (not published)
1965 Nobel Prize for relativistic electrodynamics

1949 Sokolov and Tersov (USSR) quantum calculation

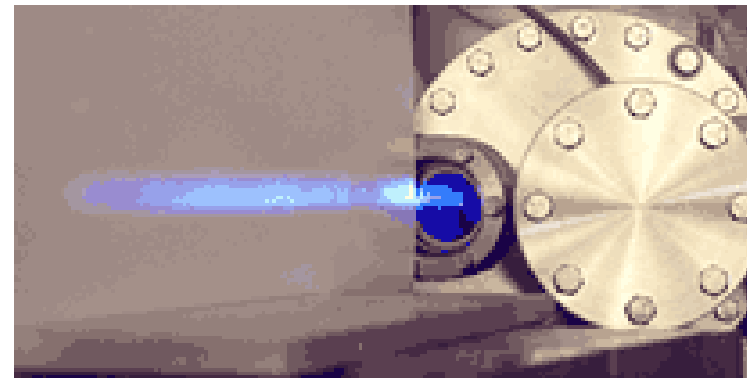
**However these early betatrons (General Electric)
did not have transparent vacuum tubes.**

Basics of Synchrotron Radiation 3

<http://www.lightsources.org/cms/> In section history

24 April 1947, H. Pollock, R. Langmuir, F. Elder and A. Gurewitsch saw a gleam of bluish-white light emerging from the transparent vacuum tube of their new 70 MeV electron synchrotron at General Electric's Research Laboratory, Schenectady, New York.
Synchrotron radiation had been seen.

First visible light for machine testing NSLS (US), 1982.



Homework 3

Three Generations of Synchrotrons

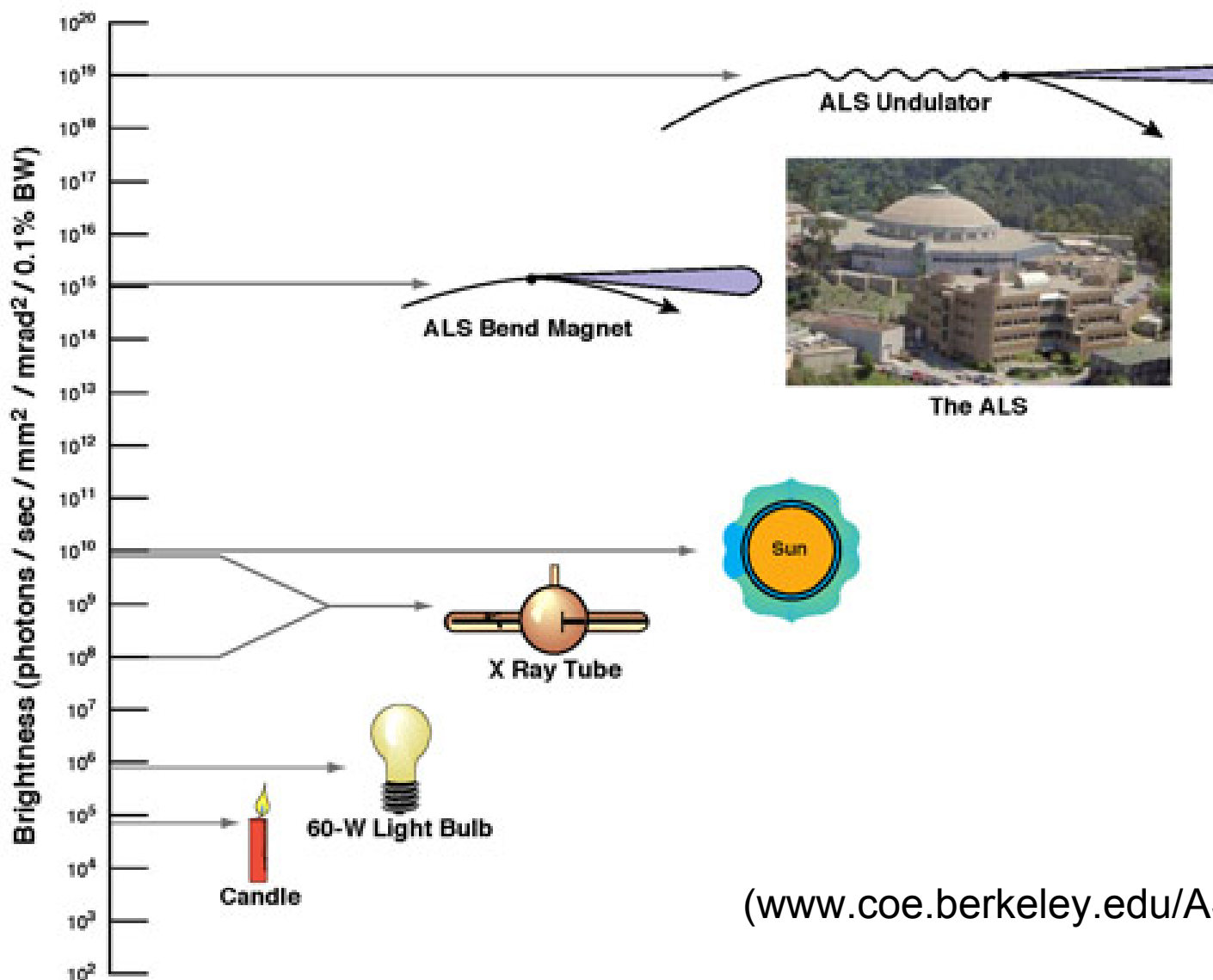
- 1) Parasitic
- 2) Storage Rings
- 3) Dedicated Sources

What about fourth generation?

Why Synchrotron Radiation? 1

How Bright Is the Advanced Light Source?

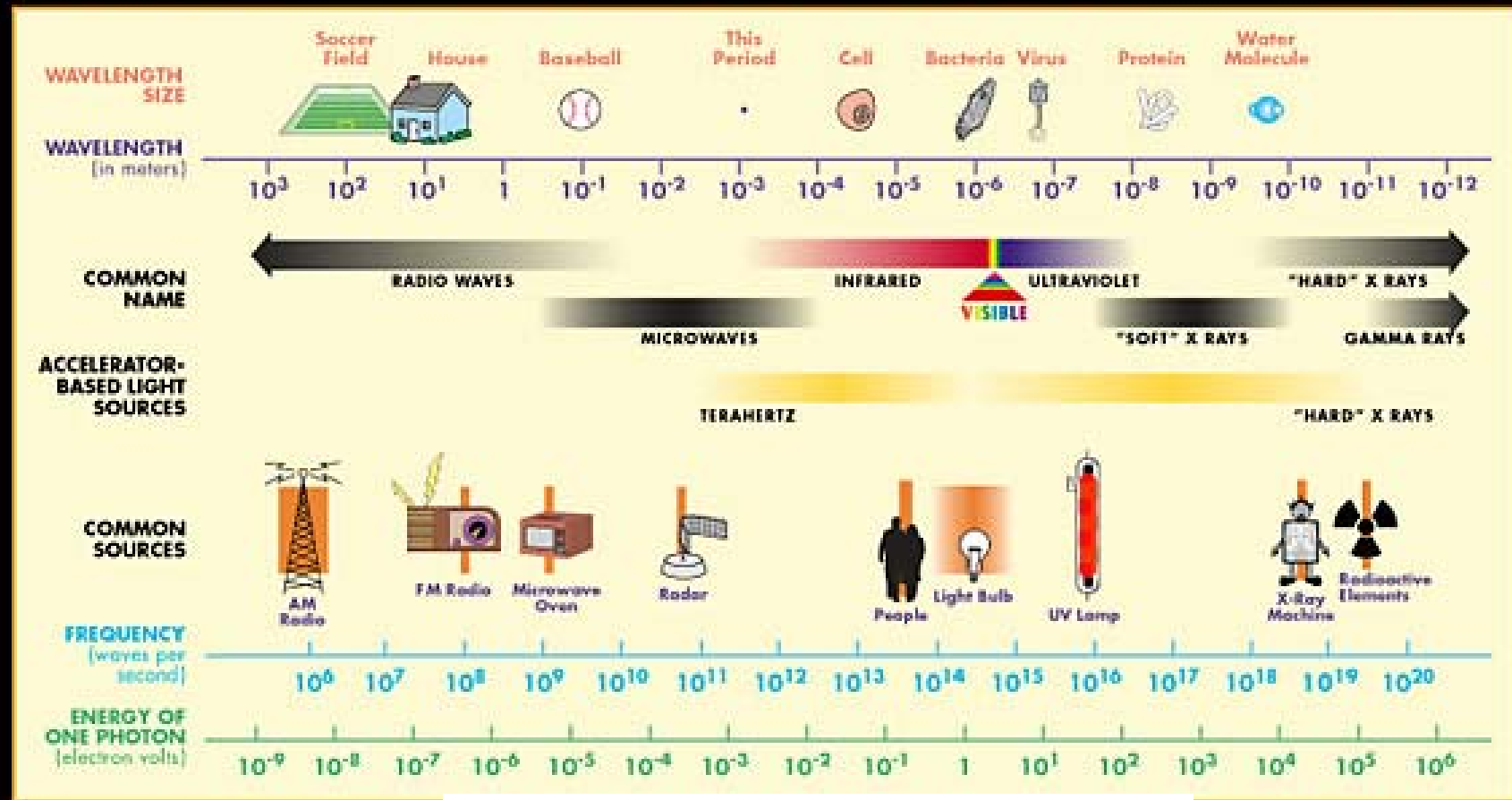
ALS



(www.coe.berkeley.edu/AST/sxreuv)

Why Synchrotron Radiation? 2

THE ELECTROMAGNETIC SPECTRUM

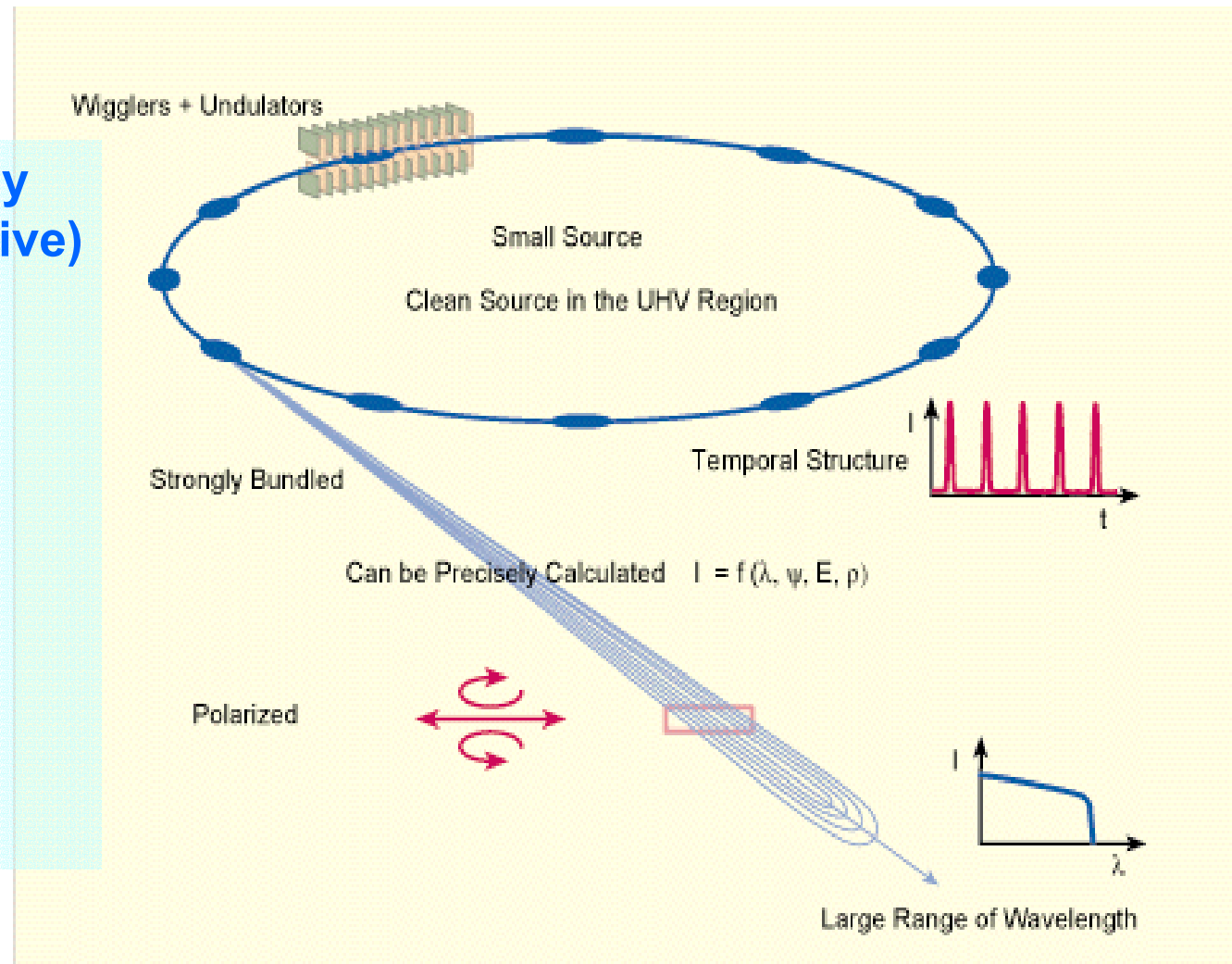


Duality Particle-waves
Extremely broad spectral range
Vision of the 'invisible'

Why Synchrotron Radiation? 3

Characteristics of Synchrotron Radiation

- Tunable Energy (Element Selective)
- High Intensity
- Time Structure
- Polarization
- Coherence
- Focalisation



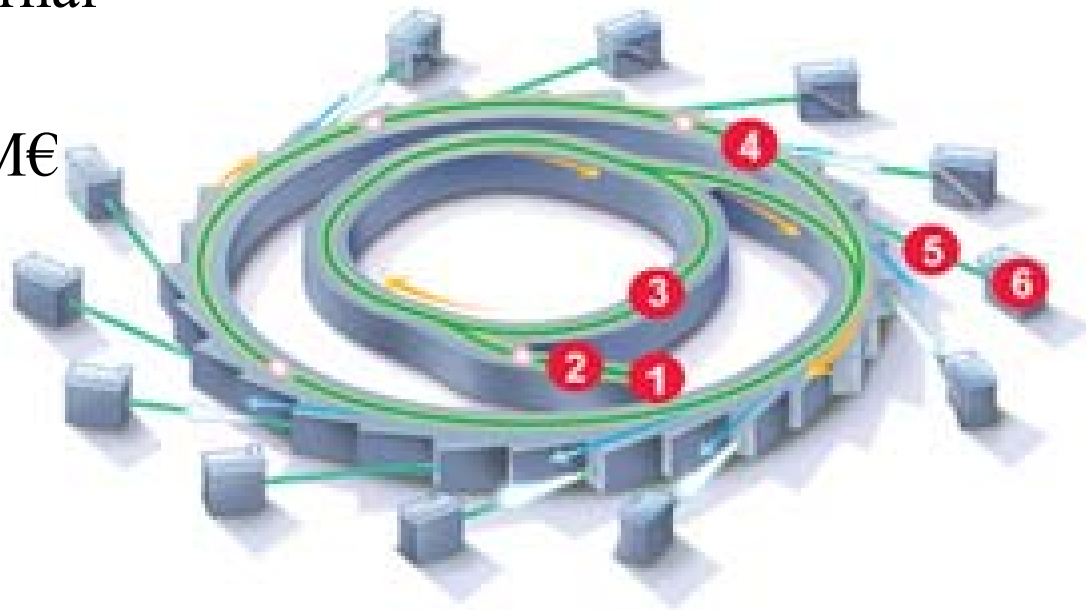
Why Synchrotron Radiation? **Facilities for users**

Costs, average 1000/4000 euro/hour

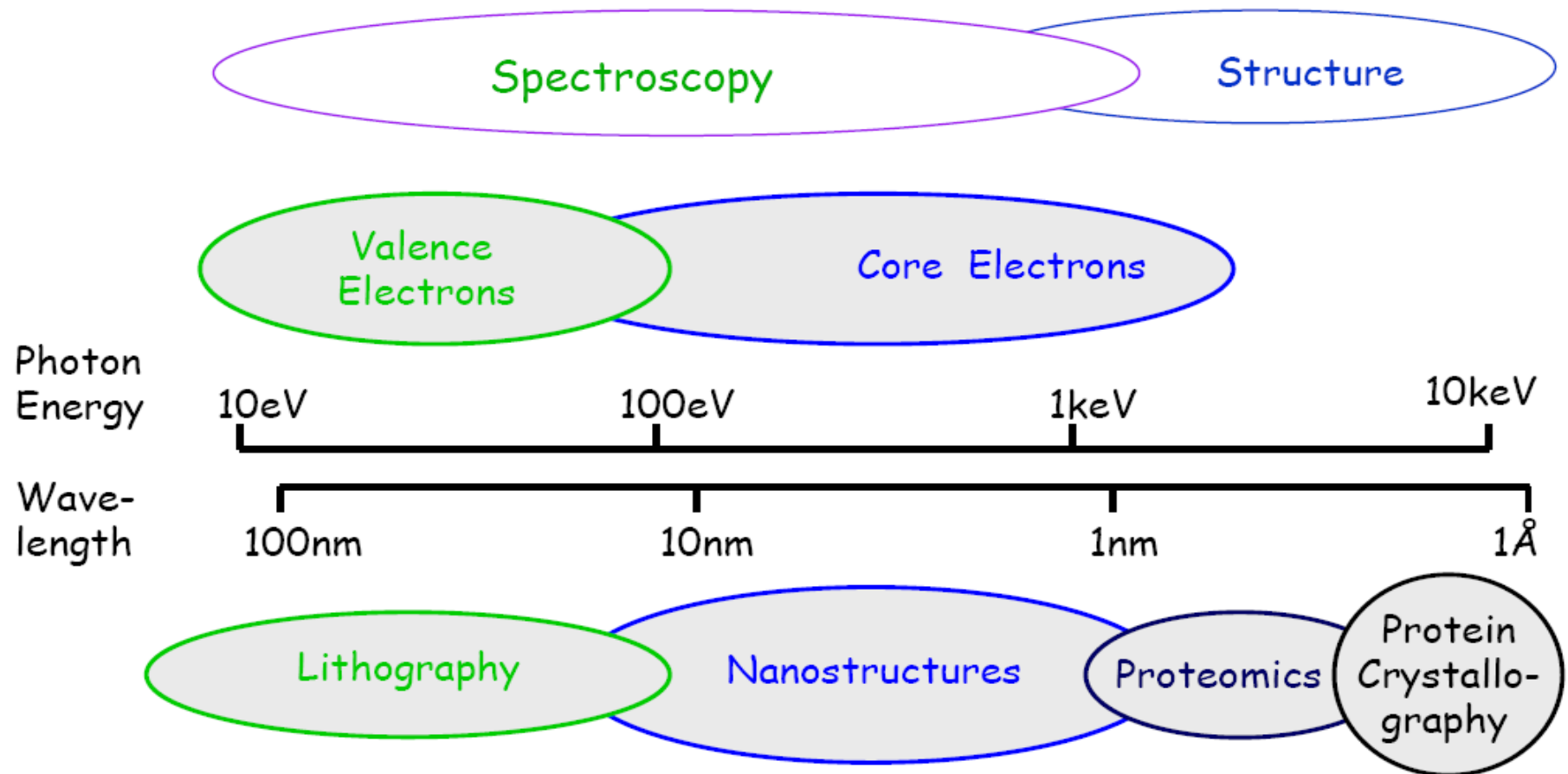
Experiments have time limit, fixed time window

- 70% beamtime open to external users
- Program committee, external members
- Annual Budget: 30/200 M€
- 700/2000 users/yrs
- 50% users from abroad
- Staff: 400 persons
- 24/24 h, >5000 hrs/yr

1. electron gun
2. linac
3. booster ring
4. storage ring
5. beamline
6. end station

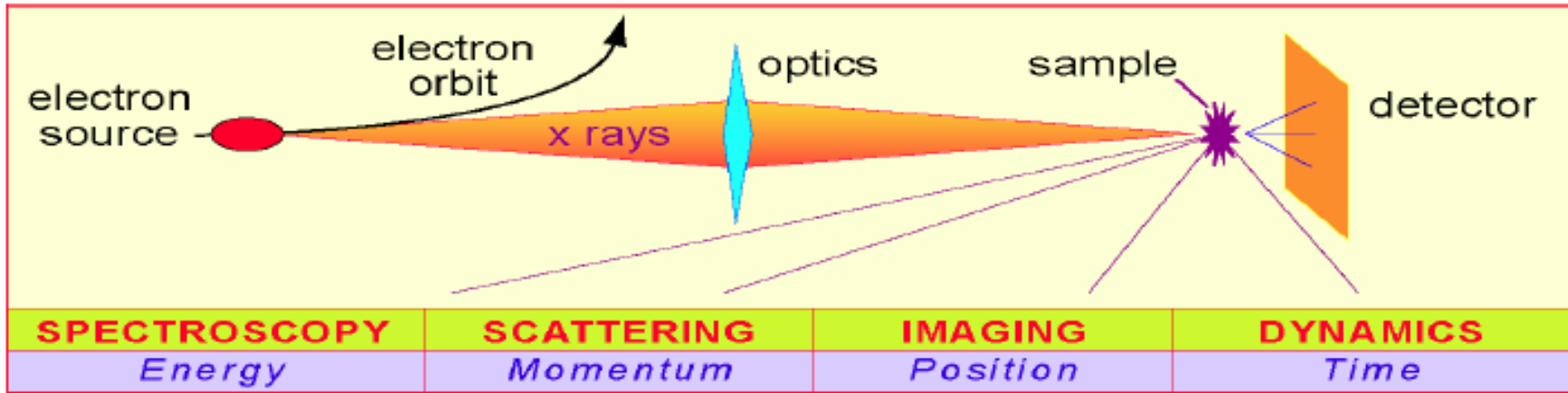


Science with Light Sources

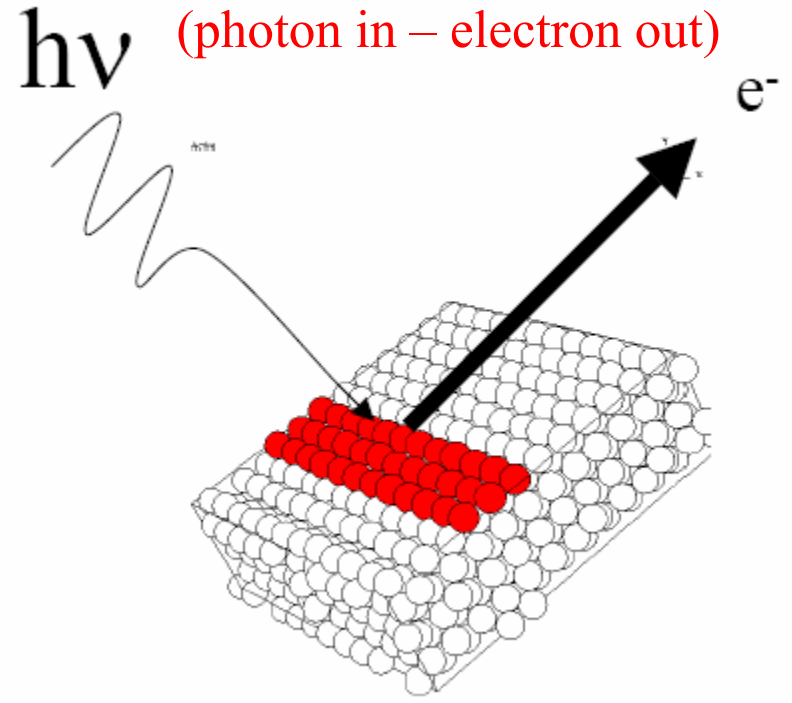


Adopted from: Franz Himpel, CMMP '07

What experiment at Synchrotron Radiation?



Electron Spectroscopies (photon in – electron out)

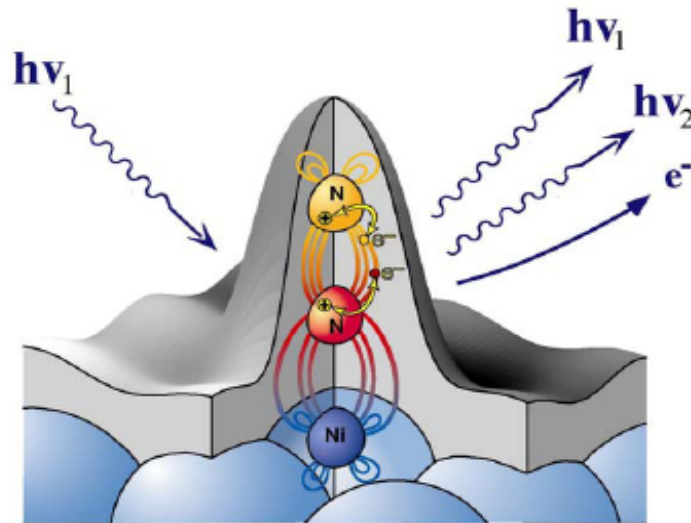


- Photon (probe mode):**
- Energy
 - Polarisation

- Electron (detection mode):**
- Energy
 - Spin
 - Angle

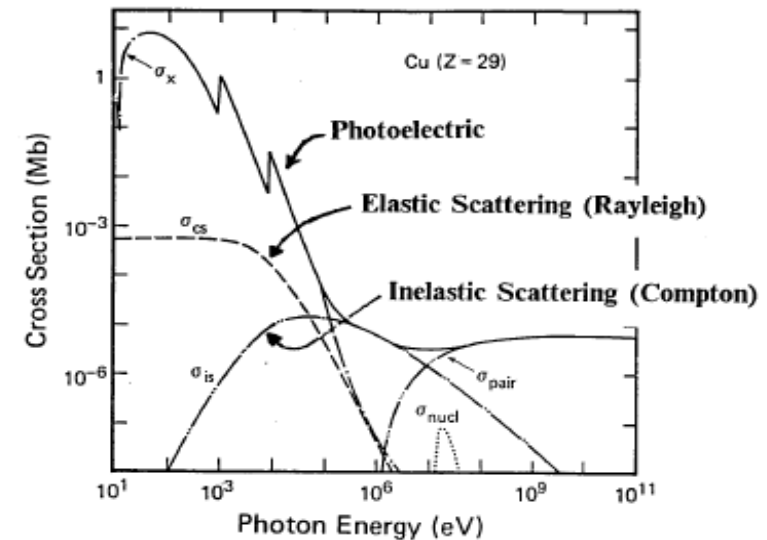
Photon Interaction

Incident photon interacts with electrons
Core and Valence



- | | |
|-----------------------|---------------|
| • Photon is | • Electron is |
| • Adsorbed | • Emitted |
| • Elastic Scattered | • Excited |
| • Inelastic Scattered | • Deexcited |

Cross Sections



Stöhr, NEXAPS spectroscopy

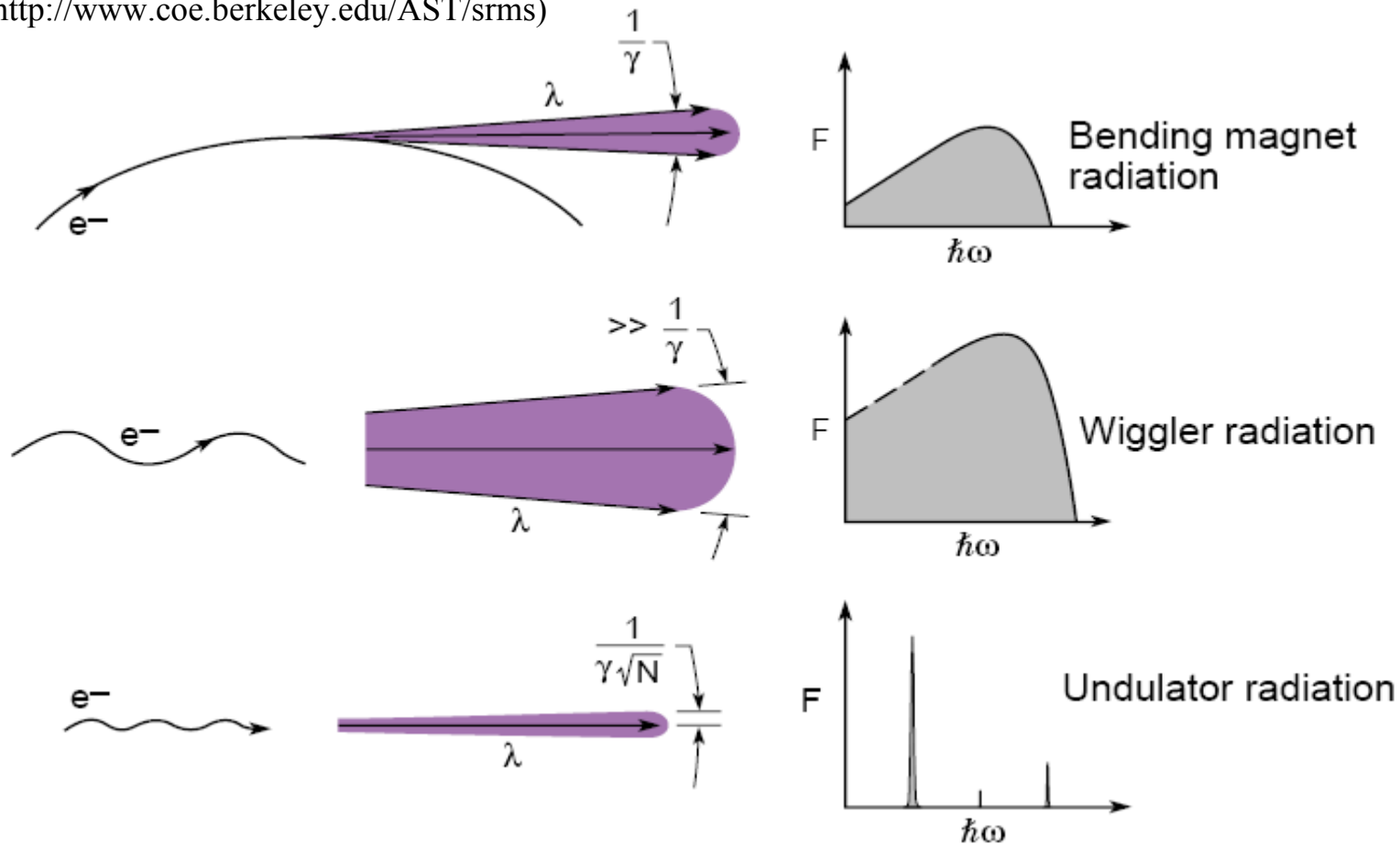
Below 100 keV

Photoelectric cross section dominates

Spectroscopy

Photon sources and insertion devices

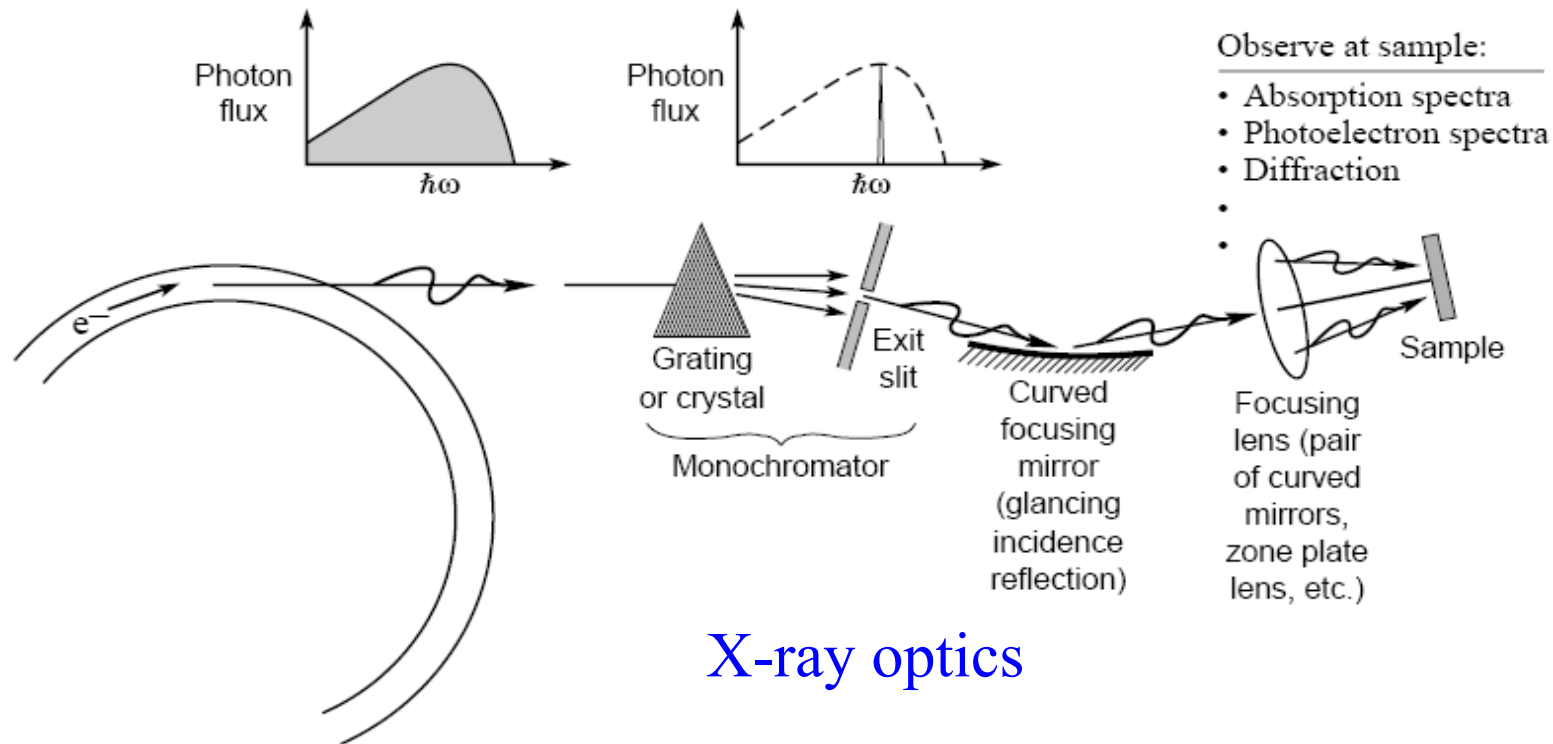
(<http://www.coe.berkeley.edu/AST/srms>)



Lesson II and III, next Thursday. Bending Magnets, Undulators, Wigglers

Beamline: from the source to the sample

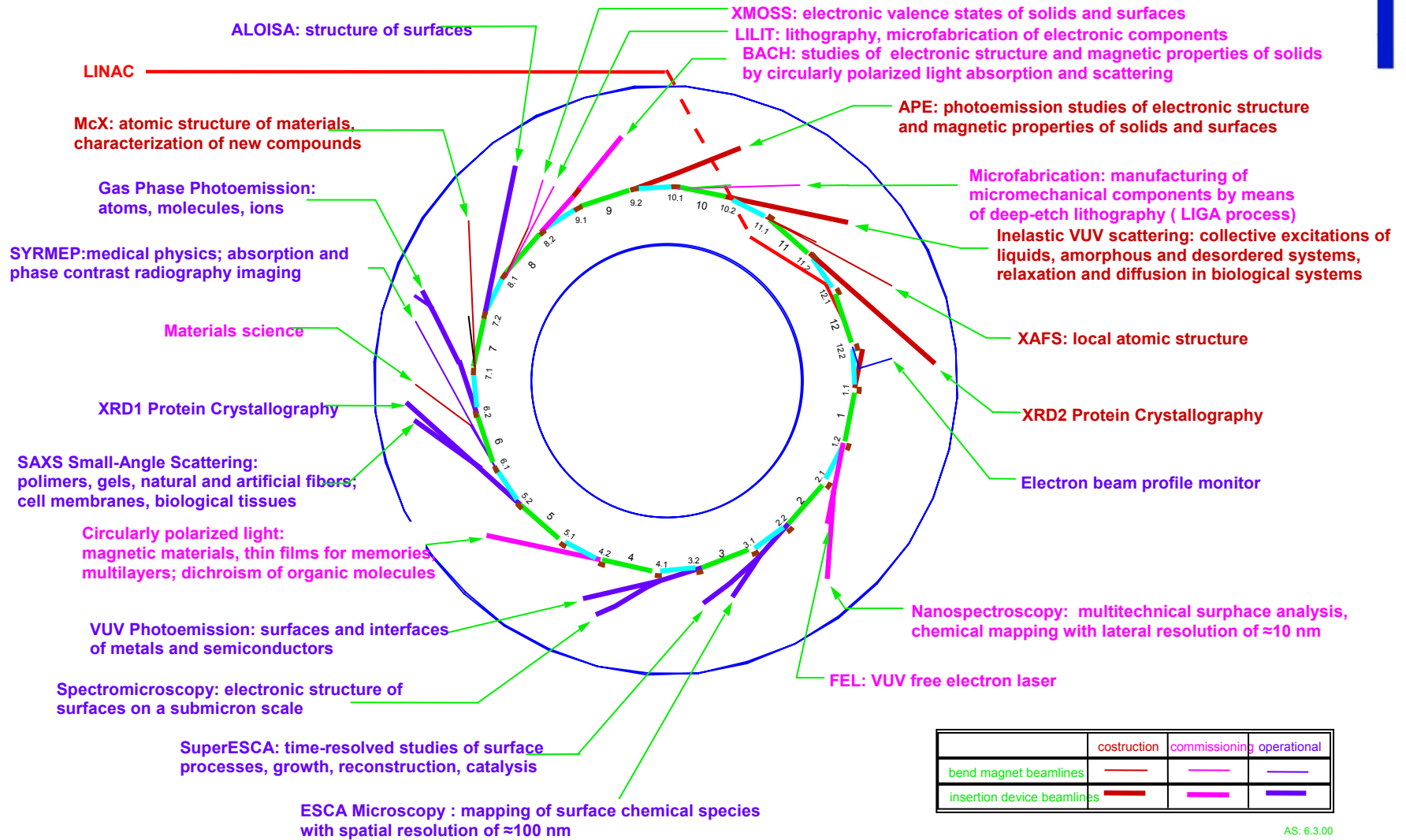
(<http://www.coe.berkeley.edu/AST/srms>)



X-ray optics

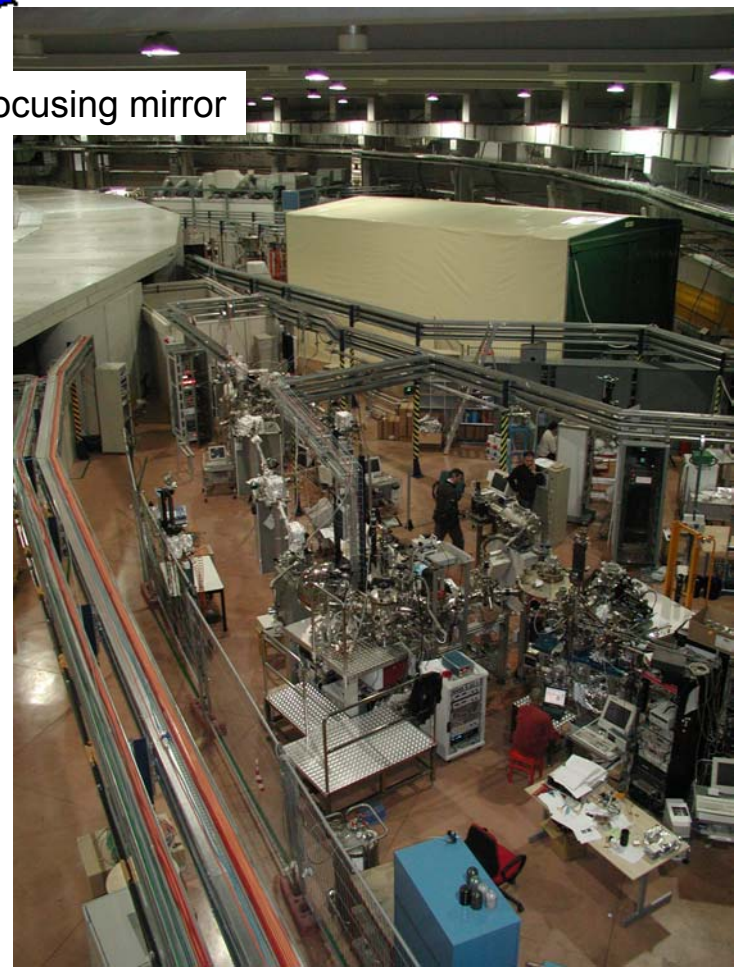
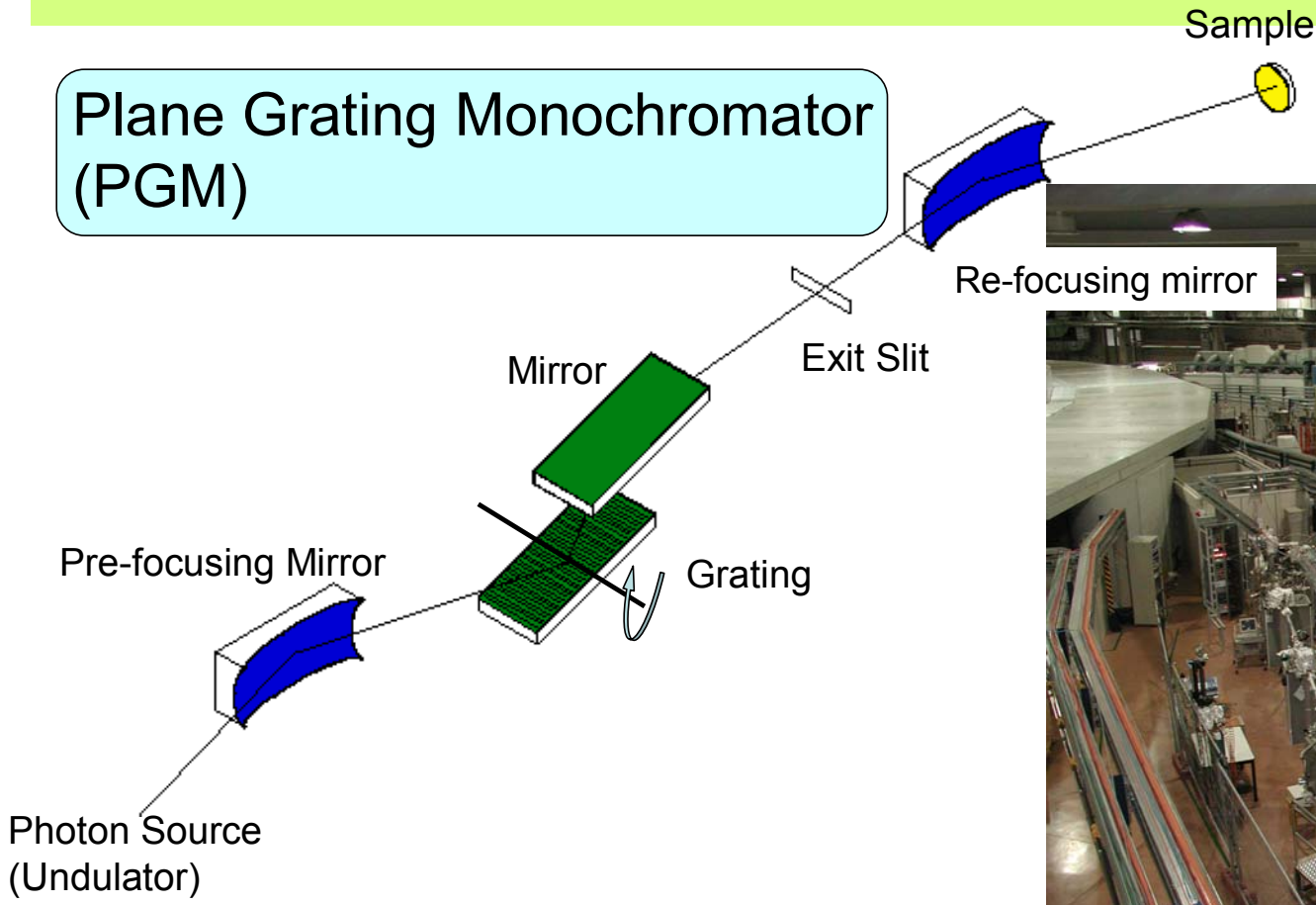
Energy selection
 Energy resolution vs. flux
 Focusing

Beamlines and scientific activity

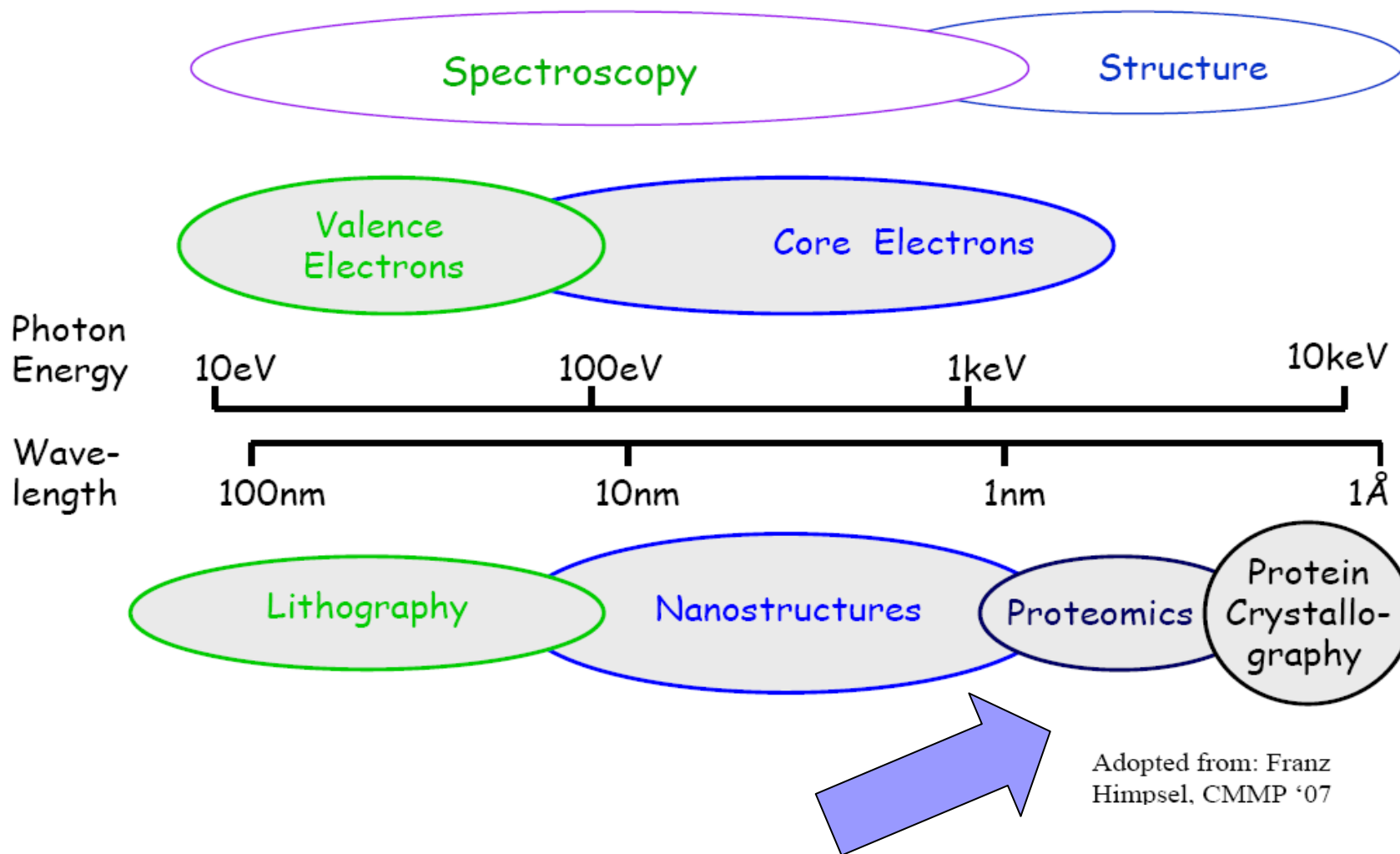


Beamlines and scientific activity

Plane Grating Monochromator (PGM)

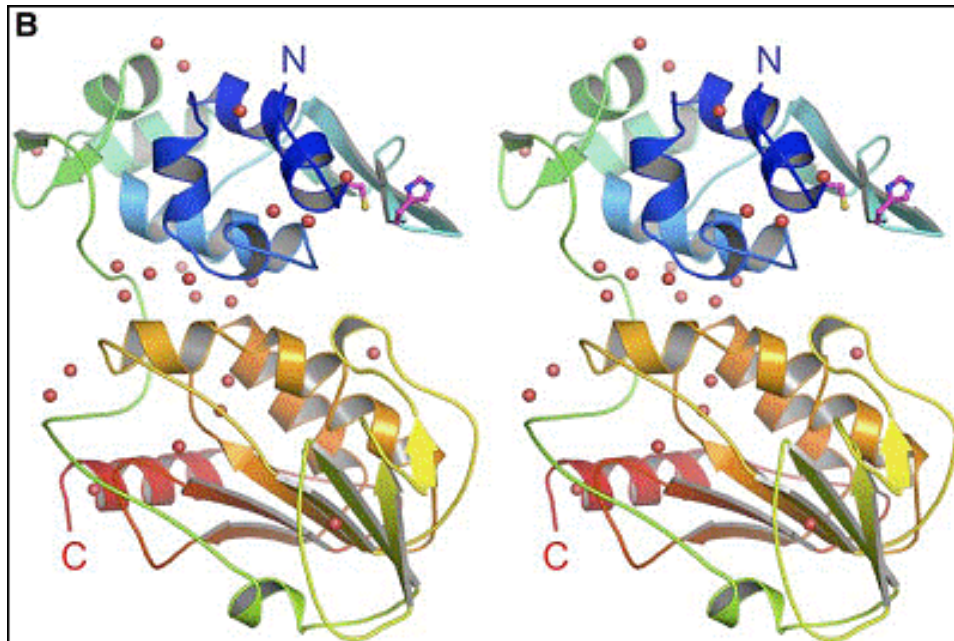


Science with Light Sources



Example 1 : Protein Crystallography

Baton Rouge CAMD beamline (<http://www.camd.lsu.edu/>)



(B) Ribbon diagram of nsP2pro colored from blue (N terminus) to red (C terminus). red spheres correspond to bound water .

STEP 1. Background

Revealing the structure of a protein that the Venezuelan Equine Encephalitis (VEE) virus requires for replication. VEE is a mosquito-borne virus found in Central and South America, and southern Texas. The virus was developed into a biological weapon during the Cold War.

STEP 2. Scope of the experiment

Developing an inhibitor of nsP2 protease to prevent the virus from replicating and causing encephalitis.

STEP 3. Preparation and Results

Dr. A. Russo, (Univ. of Texas at Galveston (UTMB)), solved the structure of a protein called nsP2 protease.

It is an enzyme that divides a large viral protein into smaller segments at specific locations. Only the smaller segments are active for the replication of the virus.

Example 2: Medical Diagnostic

(Syrmep medical beamline (ELETTRA-Italy), www.elettra.trieste.it)

Metastases formation

Increased details with image processing with SR

Earlier diagnose possible

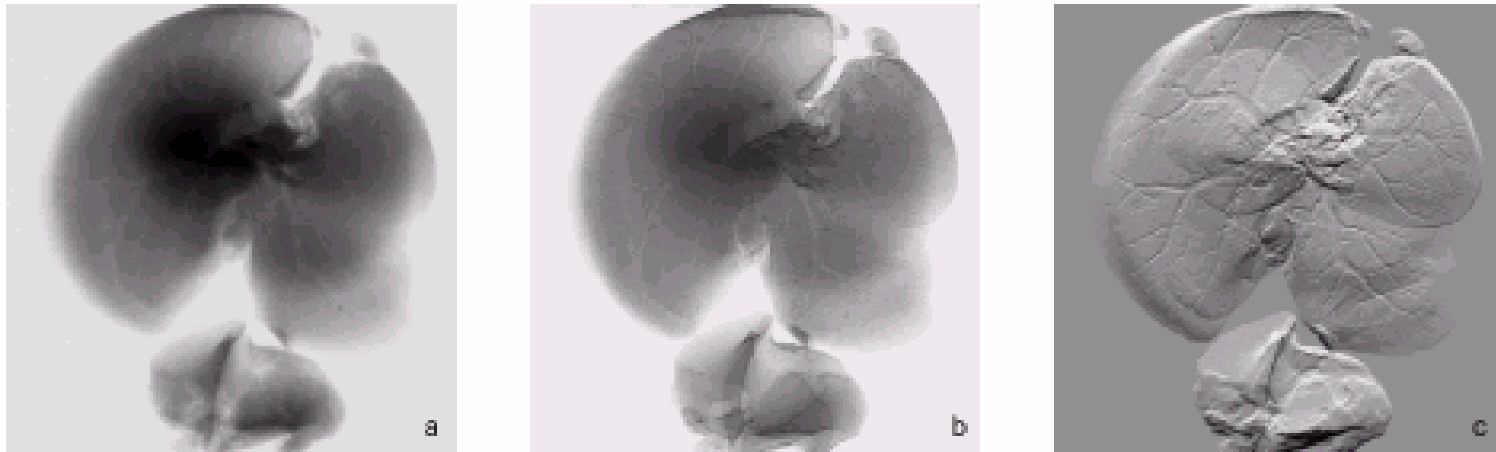


Figure 1. DEI images of a preserved mouse liver. The first (a) shows a radiogram taken with no analyser crystal. This would be similar to, but somewhat better than an image taken with a conventional x-ray generator / film system. The next (b) shows the image taken with the analyser crystal at the peak of its reflectivity curve. Finally image (c) shows an image processed to show only the refraction changes.

Images of mouse leg



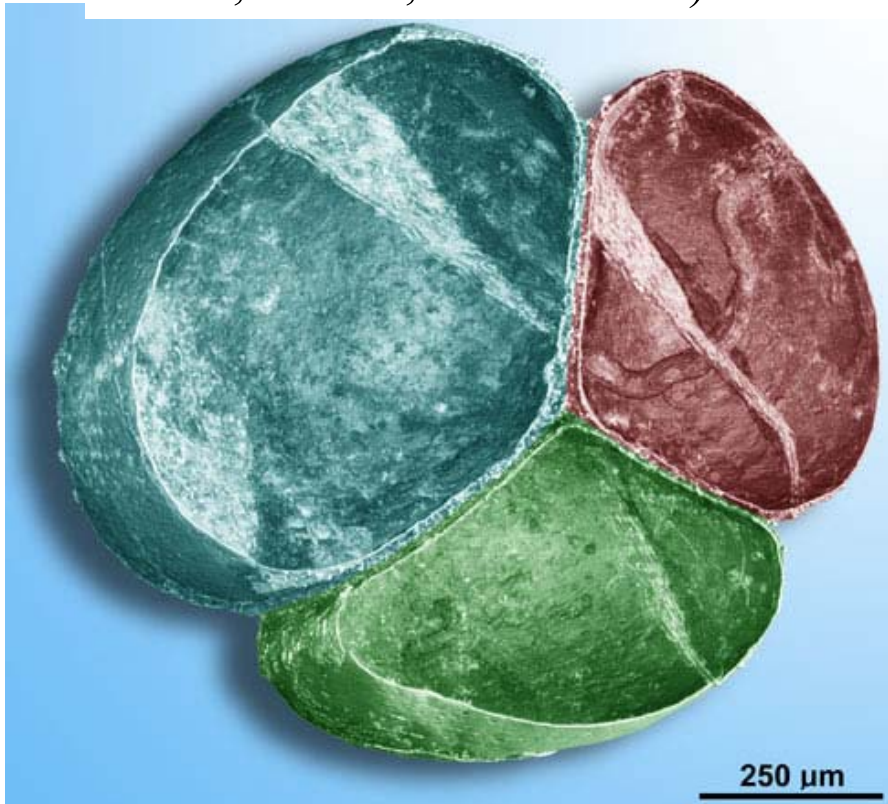
Conventional source



Synchrotron source

Example 3: Biology and Paleontology

(ID19 High-resolution Diffraction Topography Beamline,
ESRF, France, www.esrf.fr)



**Chinese embryo.
Different colours correspond to each of the 3 embryonic cells (blastomeres). Calculation of volume of each blastomere shows that the blue one is twice the volume of the others, strengthening the polar-lobe formation interpretation**

STEP 1. Background

Origin of complex animals with symmetry in a central plane (bilaterian).
Embryonic development?

STEP 2. Scope of the experiment

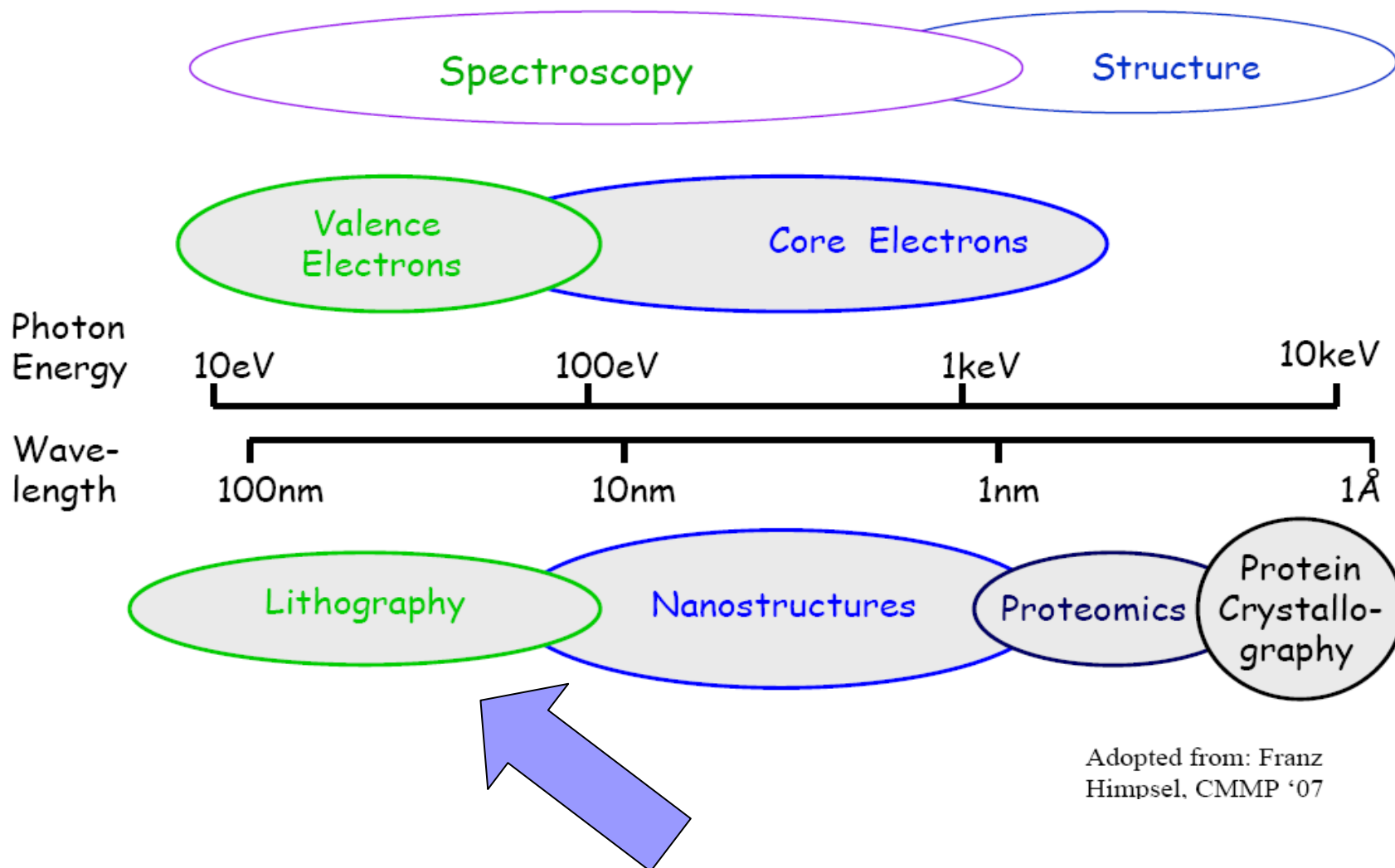
To investigate the internal structures of fossilised embryos from China
in a non-destructive manner

STEP 3. Results

Striking resemblance between the cellular cleavage pattern in the fossil embryos and that of modern polar lobe-forming embryos (580 millions years ago). This is 40 million years earlier than previously thought.

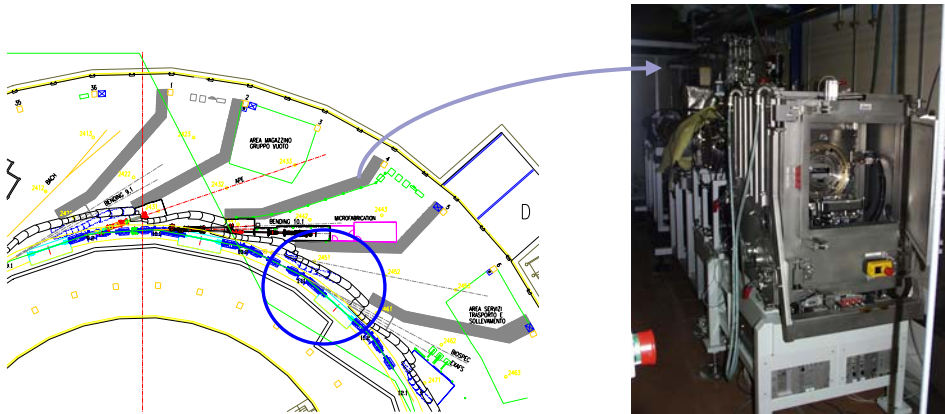
J.Y. Chen et al. Science 312, 1644 (2006)

Science with Light Sources

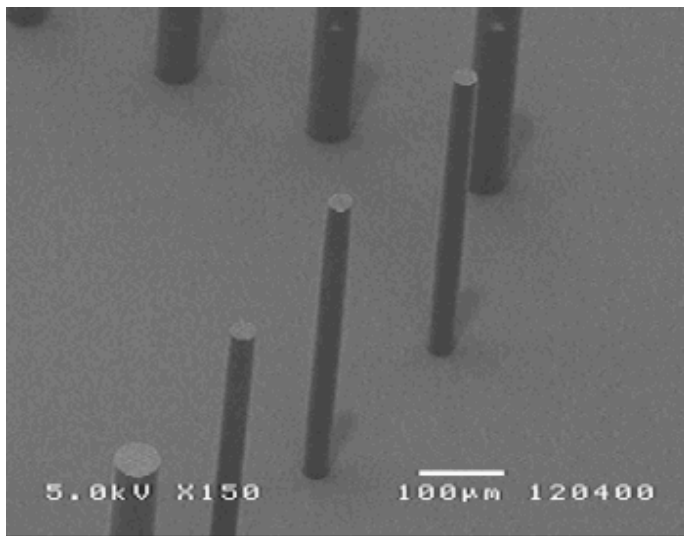
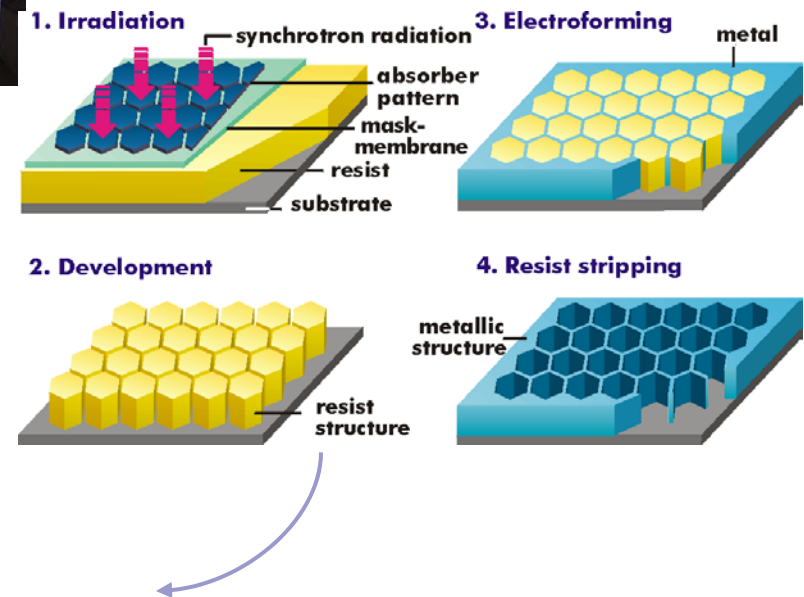


Example 4: Production of micro-nano objects

(Deep X-ray Litography beamline (ELETTRA-Italy), www.elettra.trieste.it)



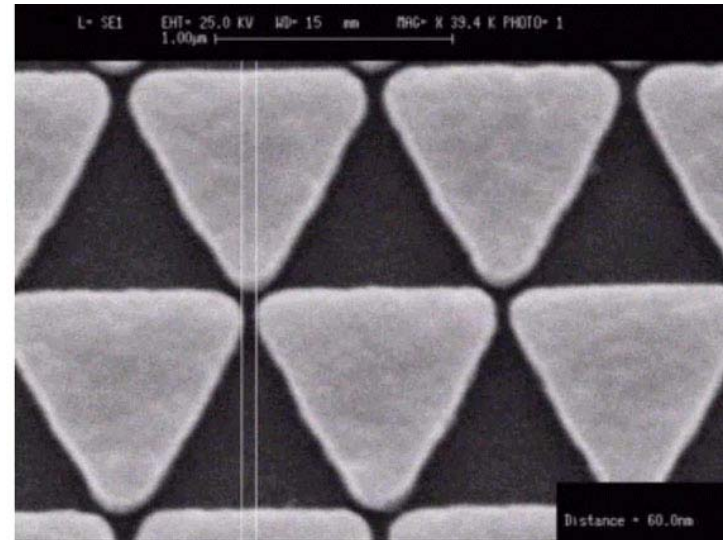
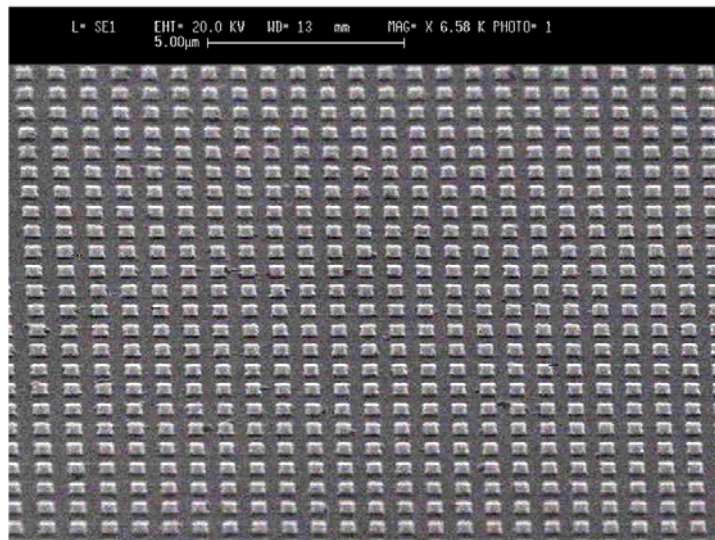
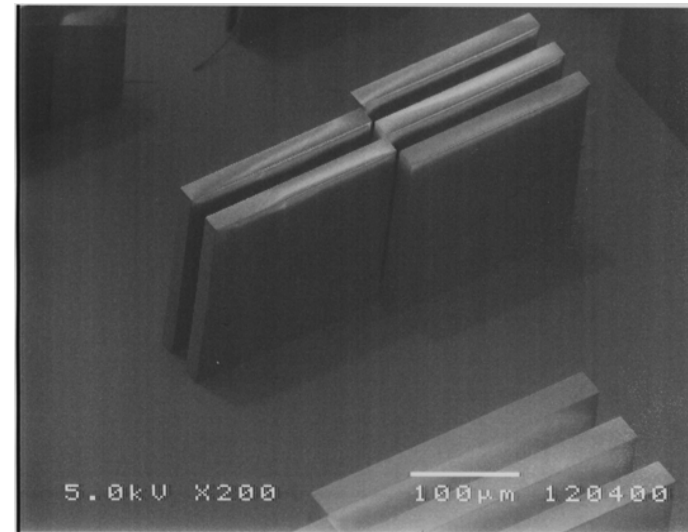
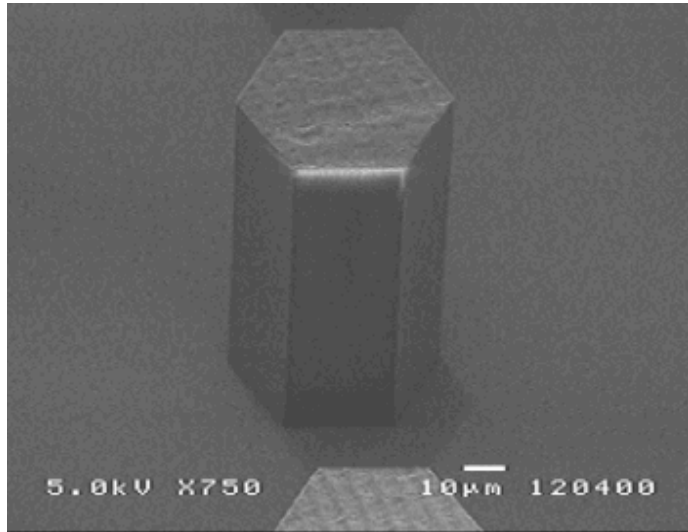
LIGA process



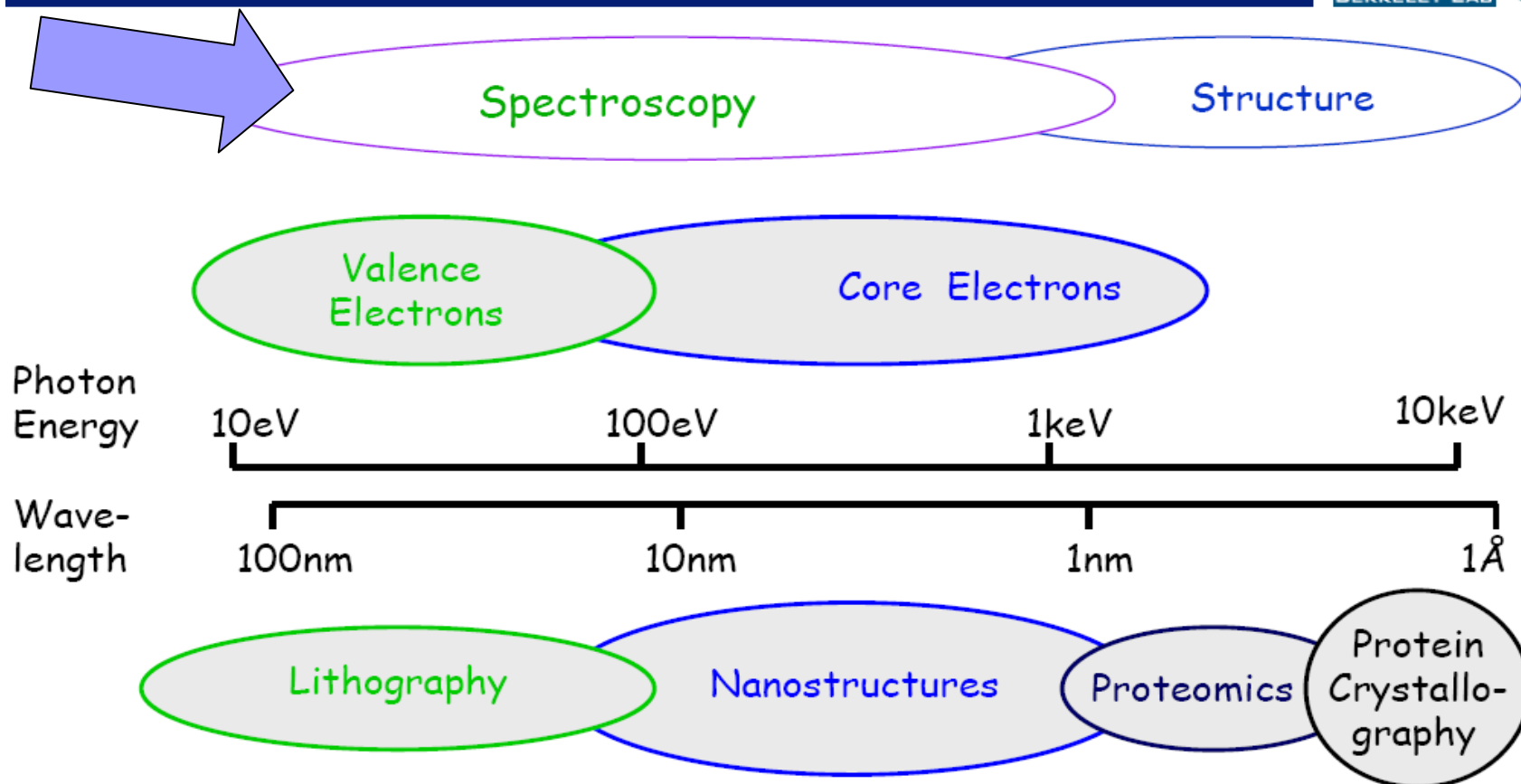
SEM images after LIGA process
 PMMA structures

Example 4: Production of micro-nano objects

(Deep X-ray Litography beamline (ELETTRA-Italy), www.elettra.trieste.it)



Science with Light Sources

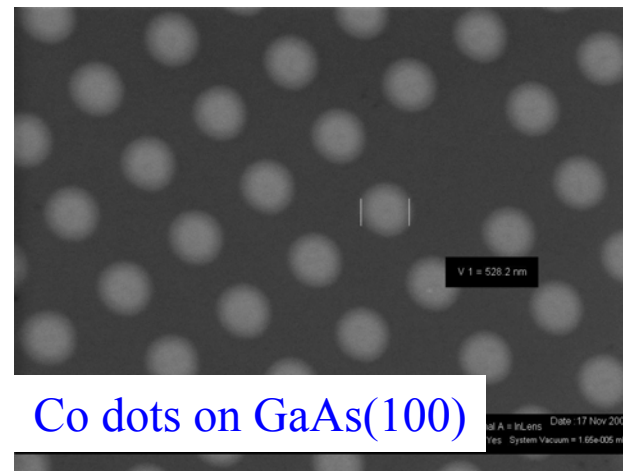
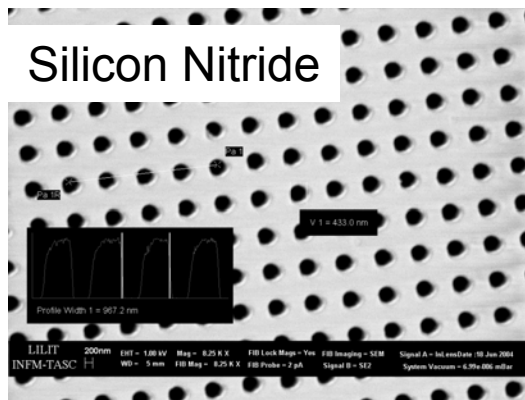


Adopted from: Franz
Himpsel, CMMP '07

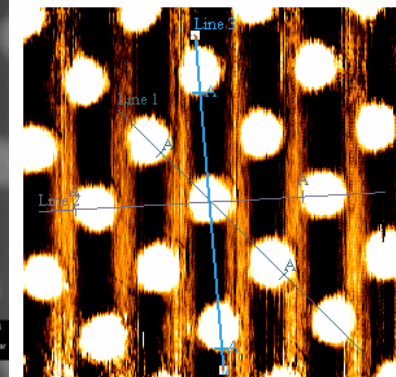
Part 3 and Part 4. Spectroscopy:

NANO = Lithography + Spectroscopy + Focalisation of SR

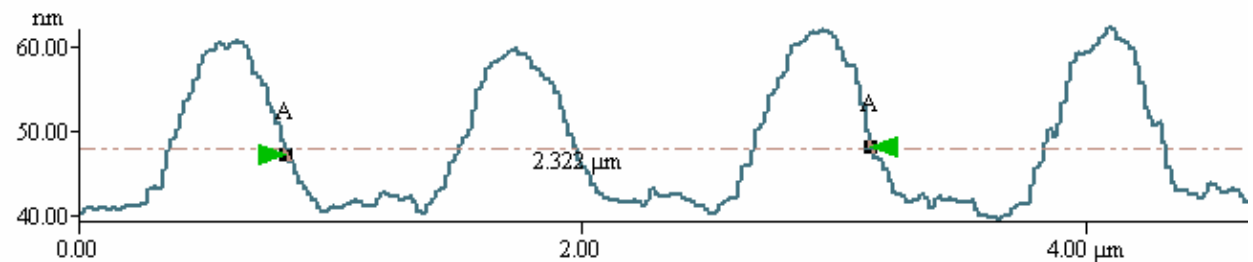
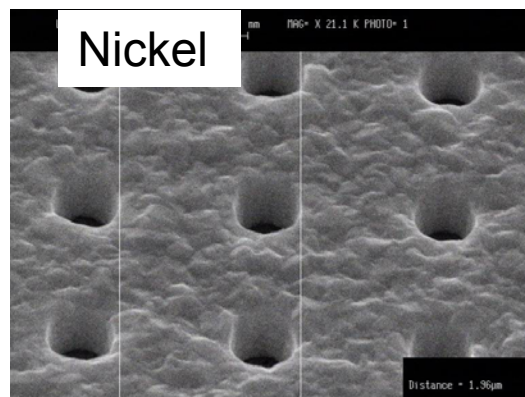
Aim = Study of the collective vs. local properties in laterally confined systems



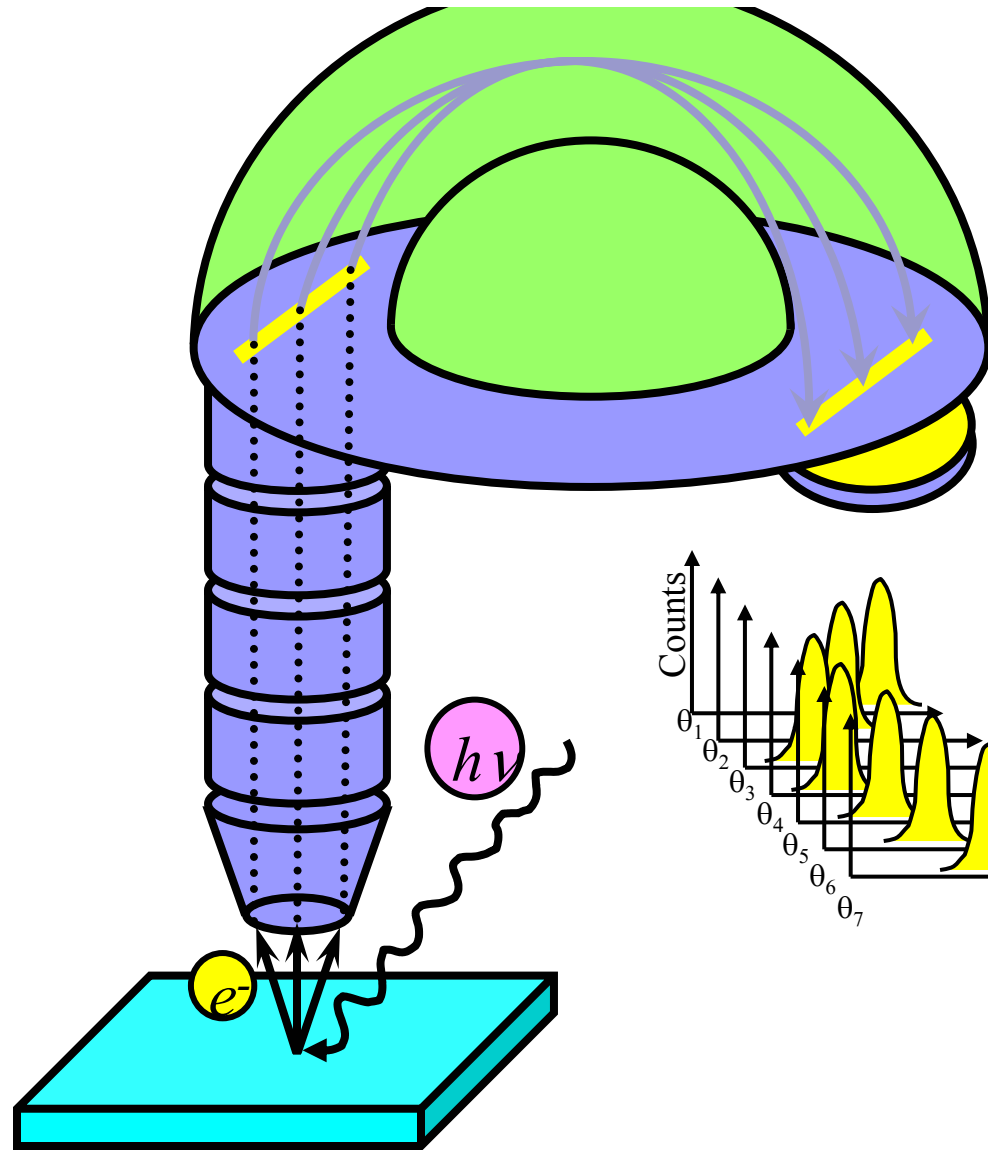
Diameter 530 nm



Diameter ~ 420nm Period ~ 1 micron



Part 3 and Part 4. Spectroscopy:
 Photoemission (Regimes of Photoemission, UPS, XPS...)

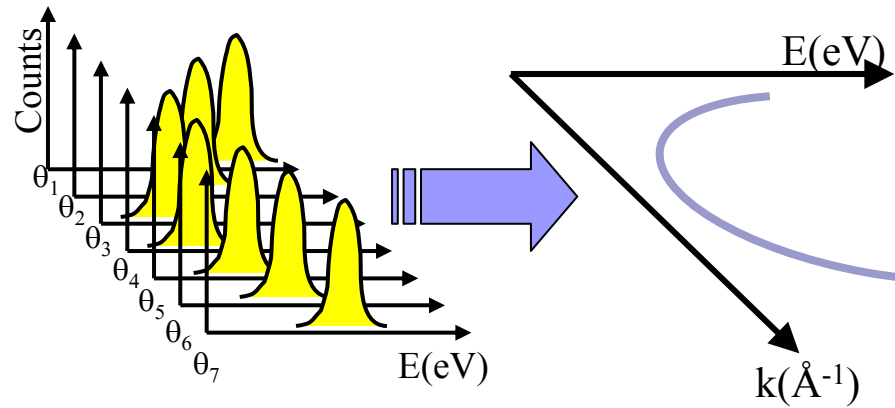


1) High Energy Resolution

1 – 10 meV @ Kinetic Energy < 50 eV

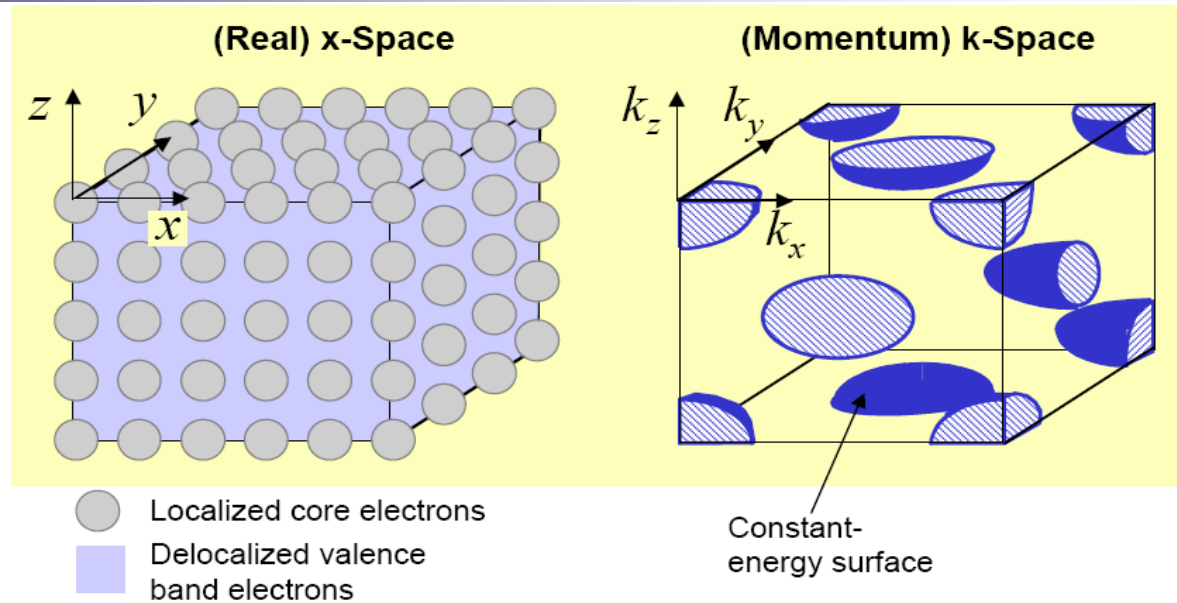
~ 100 meV @ Kinetic Energy > 500 eV

2) $E = E(\mathbf{k})$



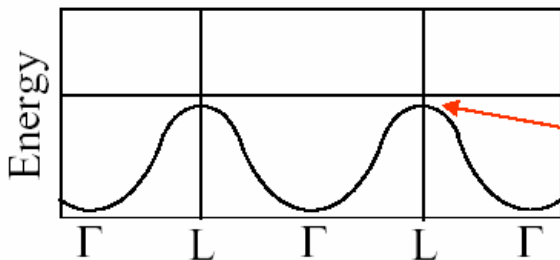
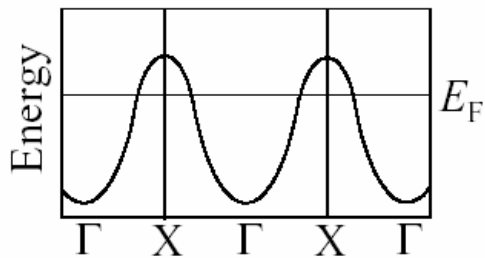
Homework n. 4
 Regimes of Photoemission
 UPS, XPS ??

Band structure via Photoemission

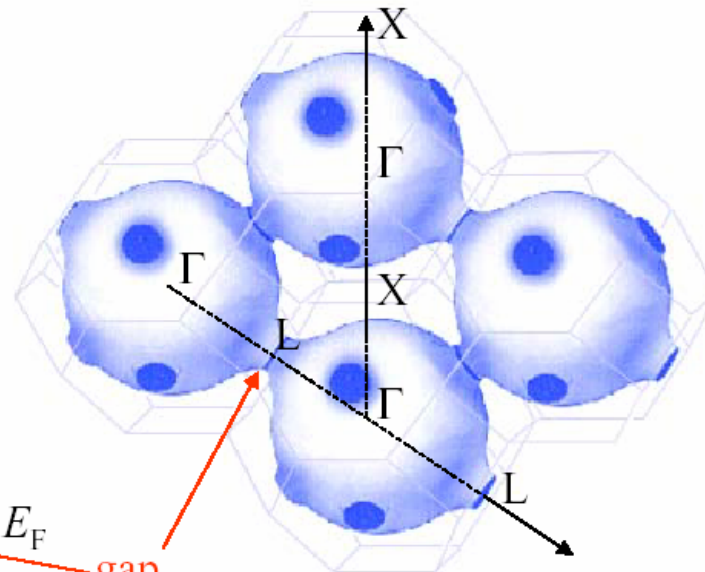


$$E_{Bnd} = \frac{1}{2}mv^2$$

$$= p^2/2m = \hbar^2k^2/2m$$



e.g. Copper



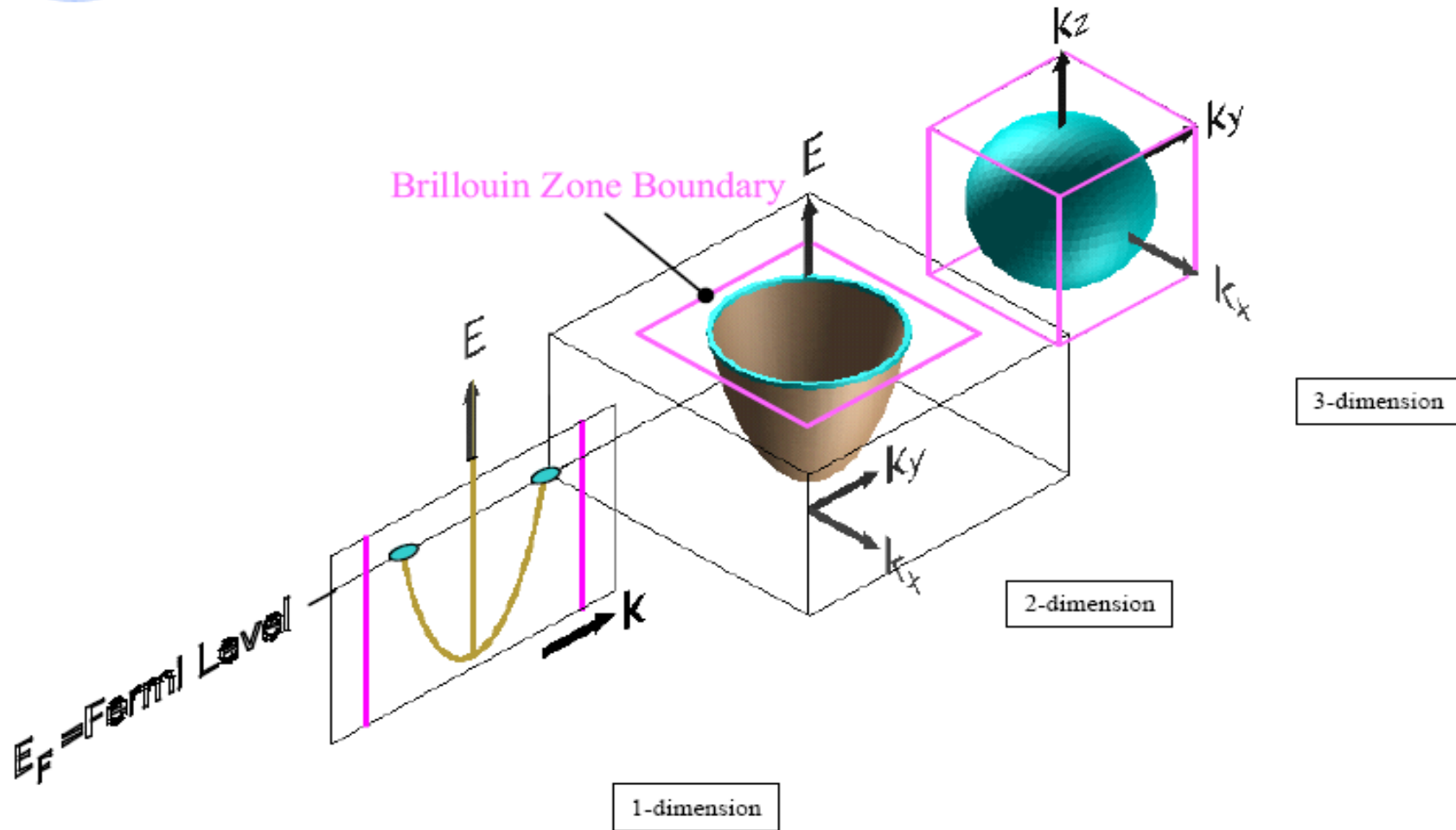
E. Rotenberg (ALS)

<http://www-bl7.lbl.gov/BL7/who/eli/SRSchoolER.pdf>



The Fermi Surface

ALS



Eli Rotenberg

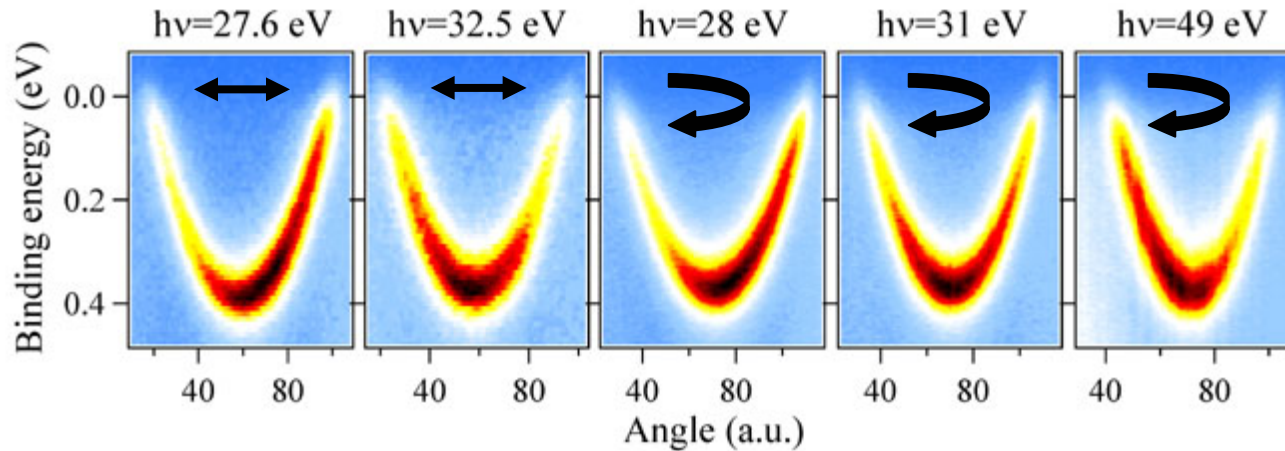
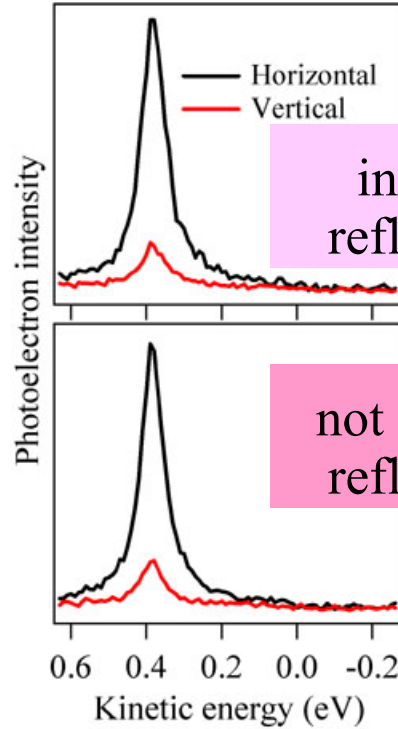
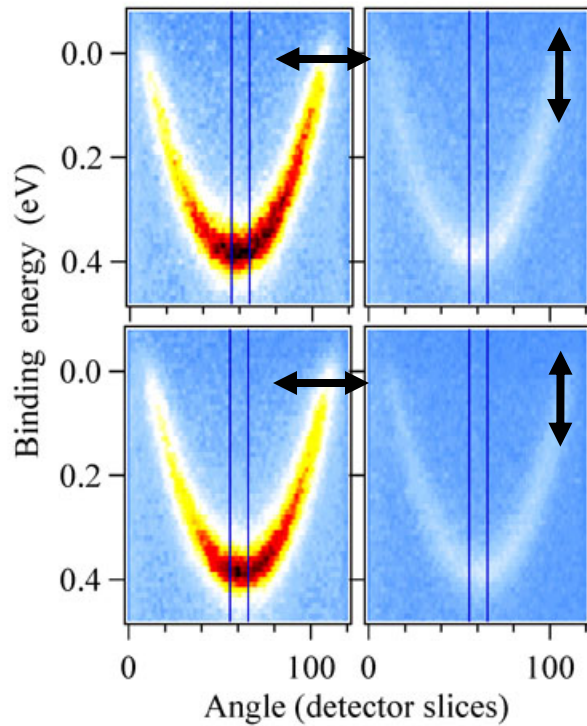
2001 Berkeley-Stanford Summer School

From <http://www-bl7.lbl.gov/BL7/who/eli/eli.html>, Tutorial on photoemission

Angular Resolved PES

Cu(111) surface state

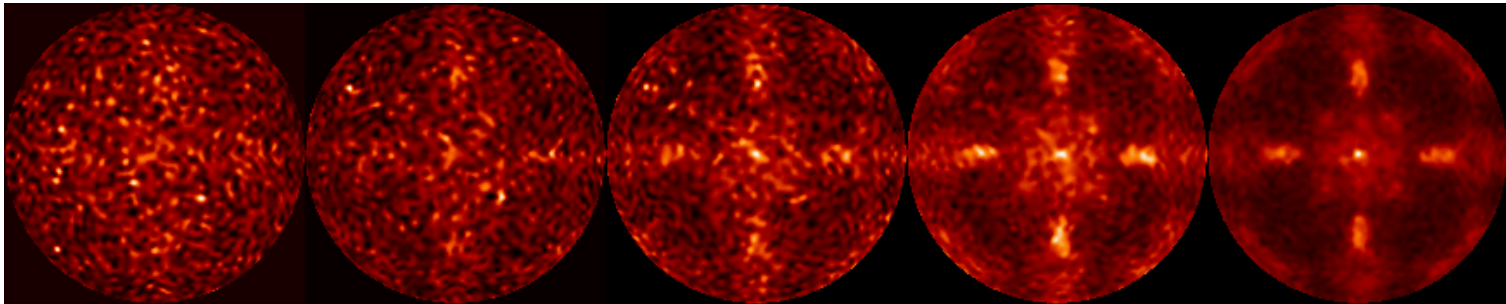
Matrix Element + Polarization dep.



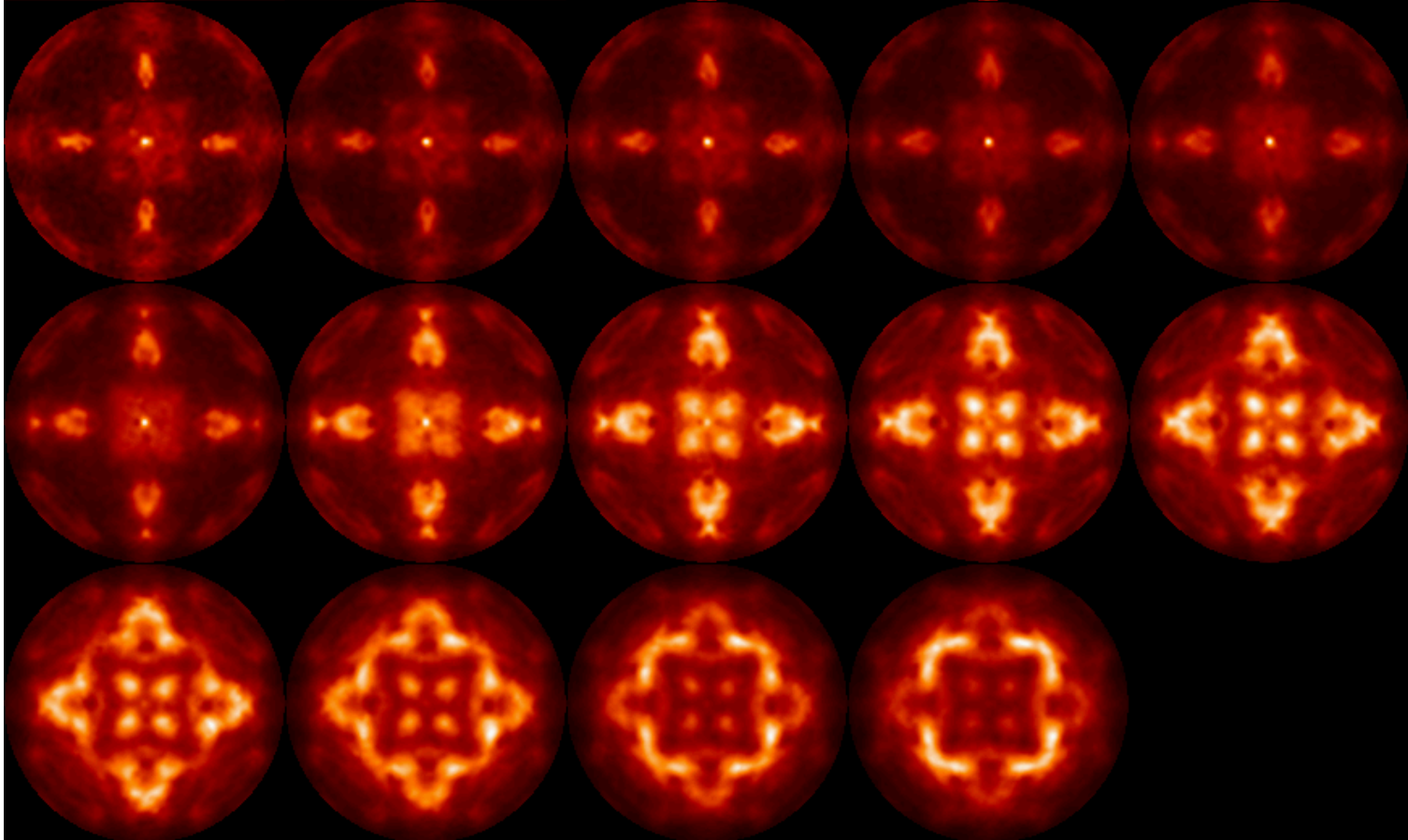
FERMI SURFACE MAPPING

Fe(001) single crystal

40 eV



step
25 meV



2D (and < 2D...) Surface science and Magnetism

What kind of control is mandatory?

Growth

Chemical Sensitivity

Sample Prep.

+

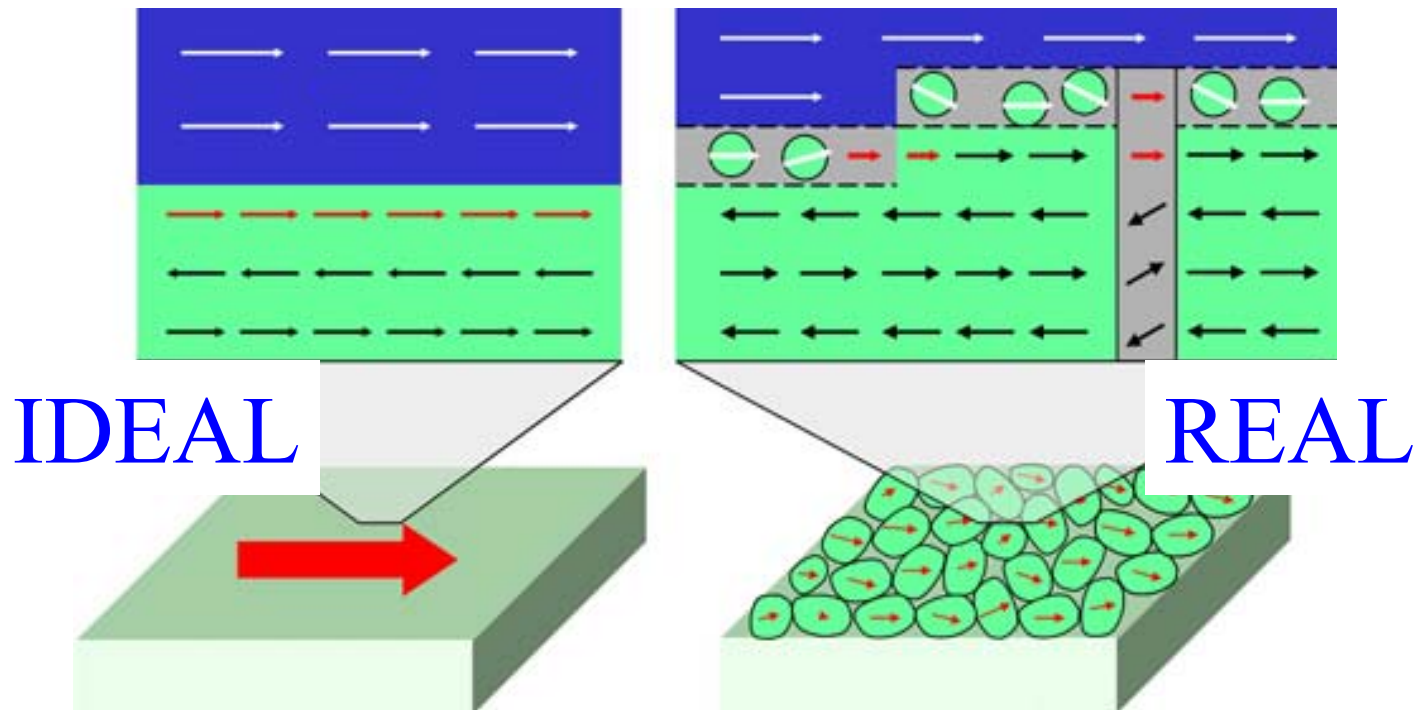
Probe : Measure^{ig}
(arization)

Magnetic coupling

$$\vec{M} = \vec{M}(\vec{H}, T)$$

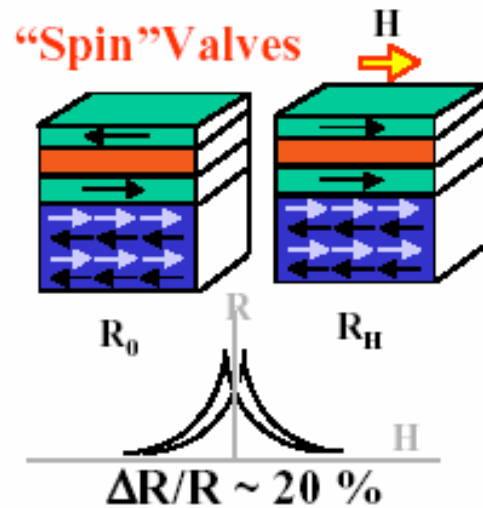
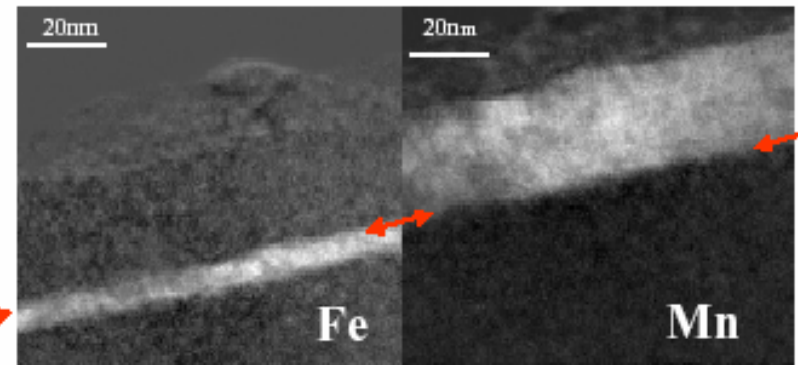
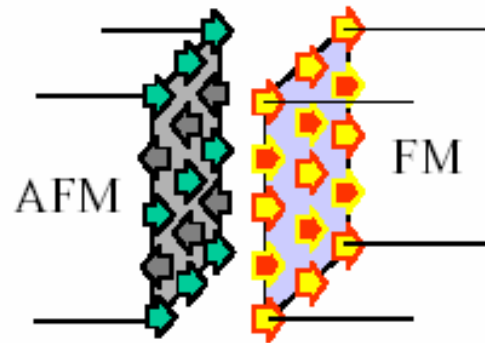
Relaxation/Expansion/Contraction

Spin + Time + Lateral Res.



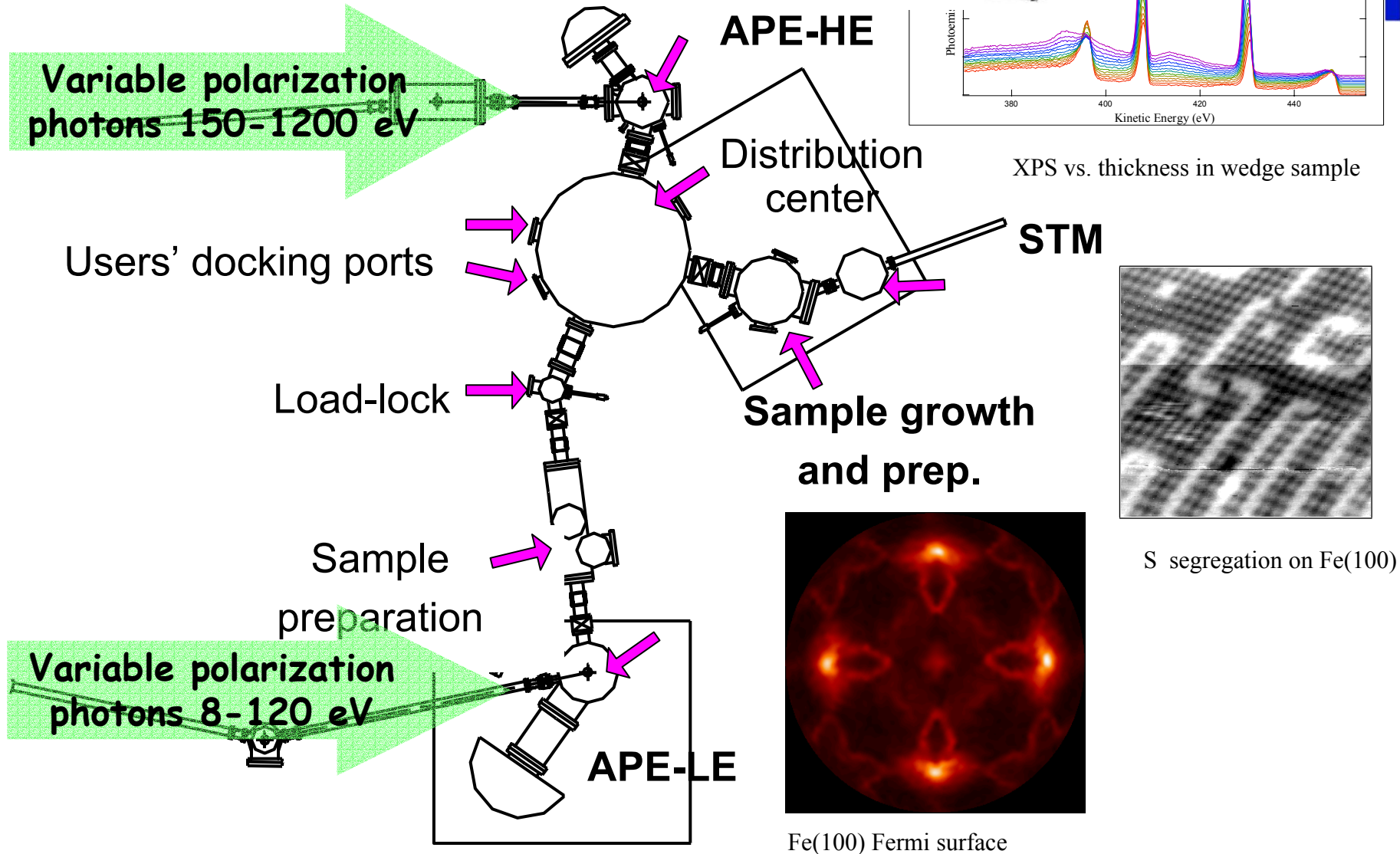
Part 3 and Part 4. **Interface and growth control.**
 Model systems: Epitaxial AFM/FM interface

Frustration, Interface and Proximity Effects



- Phenomenon poorly understood
- Interface effects dominate
- Need element-specific probes
- Growth of ideal structures - epitaxy
- Technology

Electron Spectroscopy with SR = CONTROL





Useful References

<http://www.lightsources.org/cms/>

<http://www.iucr.org/cww-top/his.sync50.html>

http://xdb.lbl.gov/Section2/Sec_2-2.html (x-ray data booklet)

Book and review articles

1) Soft X-Rays and Extreme Ultraviolet Radiation
(www.coe.berkeley.edu/AST/sxreuv)

2) H. Munro, “Synchrotron Radiation Research in the UK,” *J. Synch. Rad* **4**, Part 6 (1997) 344.
Special issue devoted to the 50th anniversary of the observation of synchrotron radiation.

3) E.-E. Koch, D. E. Eastman, and Y. Farges, “Synchrotron Radiation—
A Powerful Tool in Science,” in *Handbook on Synchrotron Radiation*,
Vol 1a, E.-E. Koch, ed., North-Holland Publishing Company; Amsterdam,
1983, pp. 1-63.

4) T. Sasaki, “A Prospect and Retrospect—the Japanese Case,”
J. Synch. Rad **4**, Part 6 (1997) 359.